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26th February 2014

To: All Councillors

As a Member of the **Council**, please treat this as your summons to attend the meeting on **Thursday 6th March 2014 at 6.00pm in the Council Chamber, Town Hall, Matlock.**

Yours sincerely

A handwritten signature in black ink, appearing to be 'Sandra Lamb', written in a cursive style.

Sandra Lamb
Head of Democratic Services

AGENDA

1. APOLOGIES

Please advise Democratic Services on 01629 761133 or e-mail committee@derbyshiredales.gov.uk of any apologies for absence.

2. PUBLIC PARTICIPATION

To give members of the public who have given notice an opportunity to ask questions, present petitions or air their views.

3. APPROVAL OF THE MINUTES OF THE PREVIOUS MEETING

23rd January 2014.

4. INTERESTS

Members are required to declare the existence and nature of any interests they may have in subsequent agenda items in accordance with the District Council's Code of Conduct. Those interests are matters that relate to money or that which can be valued in money, affecting the Member her/his partner, extended family and close friends. Interests that become apparent at a later stage in the proceedings may be declared at that time.

- 5. PERFORMANCE PLAN AND REVENUE BUDGET 2014/15** **3 - 22**
- To consider the Council's Performance Plan, revised spending proposals for 2013/14 and spending proposals for 2014/15.
- DRAFT PERFORMANCE PLAN AND REVENUE BUDGET 2014/15 PUBLISHED SEPARATELY**
- 6. CAPITAL PROGRAMME & FINANCING – PRUDENTIAL INDICATORS – INVESTMENT & TREASURY MANAGEMENT POLICY AND STRATEGIES – MRP STRATEGY** **23 - 37**
- To determine the revised Capital Programme for 2013/14, and the Capital Programme and Financing arrangements for 2014/15, and to outline the Capital Programme proposals for 2015/16 – 2017/18. Also, to set the Prudential Indicators required by the Local Government Act 2003, determine the Investment and Treasury Management Policy and Strategies required by the Local Government Act 2003 and CIPFA and determine the MRP Strategy for 2014/15.
- 7. COUNCIL TAX SETTING 2014/15** **38 - 46**
- To set the Council Tax 2014/15, in accordance with the provisions of the Local Government Finance Act 1992.
- 8. CORPORATE PLAN 2014/15** **47 - 54**
- To consider progress with the Corporate Plan, which sets out the vision, values and aims of the District Council for the coming years and lists the key improvement priorities as adopted in November 2013, and adoption of specific new action targets to maintain and (where possible) improve priority services in the coming year, based on the District Council's three corporate priorities and budgetary position for 2014/15 and The report also gives an update on progress against targets in last year's Corporate Plan.
- 9. DALES HOUSING – PROPOSED AMENDMENTS TO ARTICLES OF ASSOCIATION** **55 - 60**
- To consider the proposed amendments to the Articles of Association governing the management of Dales Housing Limited.
- 10. SEALING OF DOCUMENTS**

NOTE

For further information about this Agenda or on "Public Participation" call 01629 761133 or e-mail committee@derbyshiredales.gov.uk

COUNCIL
6th MARCH 2014

Report of the Chief Executive

PERFORMANCE PLAN AND REVENUE BUDGET 2014/15

SUMMARY

This report seeks the Council's approval of the District Council's Performance Plan 2014/15, revised spending proposals for 2013/14 and the spending proposals for 2014/15.

RECOMMENDATION

That the Council approves:-

1. That the level of Council Tax for 2014/15 be frozen at the 2013/14 level.
2. The revised estimate of net revenue expenditure for 2013/14 of £5,248,875 as detailed in the Summary Revenue Account.
3. The net sum of £1,083,334 be transferred from earmarked reserves in 2013/14, as shown in Appendix 6.
4. The estimated net revenue expenditure for 2014/15 totalling £5,284,764 as detailed in the Summary Revenue Account.
5. The minimum level of uncommitted working balances be approved at £1,000,000 at 1st April 2014, and £1,000,000 at 1st April 2015.
6. The net sum of ££434,992 be transferred from earmarked reserves in 2014/15, as shown in Appendix 6.
7. The following amounts be calculated by the Council for the chargeable financial year 2014/15 in accordance with Section 31A of the Localism Act 2011:-
 - i aggregate of the amounts which the Council estimates for the items set out in Section 31A(2)(a) to (f) thereof is £40,567,236;
 - ii aggregate of the amounts which the Council estimates for the items set out in Section 31A(3)(a) to (d) thereof is £34,012,285;
 - iii calculation under Section 31A(4) being the amount of which the aggregate at (i) above exceeds the aggregate of (ii) above as the Council Tax Requirement for 2013/14 is £6,554,951;
8. The Derbyshire Dales District Council Performance Plan and Summary Budget.
9. The Head of Resources' report on the robustness of the budget and the adequacy of reserves in accordance with clause 25 of the Local Government Act 2003.

WARDS AFFECTED

All

STRATEGIC LINK

All the Council's aims and priorities as contained in the Corporate Plan, the Performance Plan, and various service strategies have been taken into account in determining the service plans and spending proposals. Budgets are included, where appropriate, for the completion of targets for 2013/14, and for the achievement of the key target areas for 2014/15. Corporate targets for 2014/15, which are subject to a report elsewhere on the Agenda of this meeting, reflect the budget decisions taken in this report.

REPORT

1. Introduction

- 1.1 Proposals for all the Council's General Fund services and activities are shown in detail in the "Performance Plan and Summary Budget 2014/15" booklet, which is circulated with the Agenda for this meeting. The totals for each service are given in Appendix 1 to this report.
- 1.2 The forecasts of revenue spending requirements include both the financing costs and running/operating expenditure associated with the Capital Programme.
- 1.3 In addition to considering the spending proposals for the forthcoming year, the Code of Practice on a Prudential Approach to Local Authority Commitments requires the preparation of a Medium Term Financial Plan. This shows the known changes in financial commitments for future years, in order that the implications for future spending requirements are identified in advance and included in the strategic planning process.
- 1.4 The Performance Plan is combined with the Revenue Budget in this report. Incorporating budget data with other service information clarifies the link between service levels and resources.

2 Local Government Finance Settlement 2014/15 and 2015/16

- 2.1 The Local Government Finance Settlement for the Council is summarised below:-

	2013/14	2014/15	Decrease	2015/16 Indicative	Decrease
Settlement Funding Assessment	£3.759m	£3.296m	12.3%	£2.818m	14.5%

- 2.2 The Government has provided additional funding of approximately £57,000, which is available should the Council decide to freeze its 2014/15 Council Tax at the 2013/14 level. The funding is equivalent to a 1% Council Tax increase. The Government has confirmed that similar funding will be available to freeze Council Tax in 2015/16, and that, if accepted, the funding will be incorporated into the Settlement Funding Assessment in future years.

3. New Homes Bonus

- 3.1 The Government has recently announced allocations under the New Homes Bonus. This grant is designed to address the disincentive within the local government finance system for local authorities to welcome growth, as new housing has meant increased strain and additional costs for local authority services. The New Homes Bonus is intended to provide additional funding to mitigate the additional costs caused by increased population.

- 3.2 It is an un-ringfenced revenue grant, and can be used towards the provision of any Council services. It is intended to be a permanent feature of the Local Government Finance System.
- 3.3 However, it should be noted that funding for the New Homes Bonus has been top-sliced from the amount available for the Settlement Funding Assessment, and is not additional funding for Local Authorities
- 3.4 The District Council has received an allocation of £547,943 for 2013/14, and an allocation of £695,775 for 2014/15.

4. Council Tax Requirement

- 4.1 In order to arrive at a total amount to be financed from the Council Tax, various adjustments need to be made to the Service Committee spending proposals. One of these is a credit relating to the reversal of capital charges. Accounting regulations state that all Council Services have to be charged with depreciation for the use of assets. An equivalent figure to total depreciation is then credited to the Income and Expenditure Account. Similar entries are made in respect of pensions' adjustments.
- 4.2 The net cost of services is detailed in Appendix 1. The calculation of the council tax requirement is shown in detail in the Summary Revenue Account (Appendix 2) and is summarised in the table below.

	<u>Estimate</u> <u>2013/14</u>	<u>Revised</u> <u>Estimate</u> <u>2013/14</u>	<u>Estimate</u> <u>2014/15</u>
	£	£	£
Net Cost of Services (as Appendix 1)	11,073,692	11,106,543	11,536,315
Net Interest	205,000	192,000	193,000
Settlement Funding Assessment	(3,753,891)	(3,758,129)	(3,295,643)
Council Tax Freeze Grant 2014/15	0	0	(56,901)
New Homes Bonus	(547,943)	(547,943)	(695,775)
Financing Adjustments	(756,107)	(765,964)	(2,041,023)
Collection Fund Deficit	10,007	10,007	8,092
Parish Council Tax Base Support Grants	95,529	95,529	71,153
Transfer to/(from) strategic reserves (detailed in Appendix 6)	(1,077,412)	(1,083,334)	(434,992)
Net Revenue Expenditure	5,248,875	5,248,709	5,284,226
Transfer to Balances	0	166	538
Council Tax Requirement (Appendix 2)	5,248,875	5,248,875	5,284,764

- 4.3 A summary of variances when comparing the 2013/14 revised estimate to the original estimate is given in Appendix 3. The revised estimates include a proposed to transfer to a Property Repairs Reserve of £104,000.
- 4.4 The estimate for 2014/15 includes proposed transfers of:
- £280,000 to the Vehicle Renewals Reserve, to provide for the future replacement of vehicles.
 - £100,000 to the Property Repairs Reserve
 - £50,000 to the Office Technology Reserve

Following this transfer, there is a "break-even" position in the 2014/15 budget, with expenditure matched by income.

- 4.5 A summary of variances when comparing the 2014/15 estimate to the 2013/14 estimate is given in Appendix 4.
- 4.6 The Consolidated Revenue Account (Appendix 2) sets out the spending proposals for this Council and the precepts of the Town/Parish Councils for 2014/15. The estimates of cost reflect the spending needs of the current service plans and policies of the Council.

5. Council Tax 2014/15

5.1 Council Tax Collection Fund Balance

In determining its demand on the Council Tax, the Council must take account of any balances relating to Council Tax transactions, reflecting the difference between anticipated yield and collection rate compared to those actually achieved.

At 31st March 2014 there is expected to be a deficit on the collection fund. The District Council's share of the deficit is £8,092, which has to be taken into account in setting the 2014/15 Council Tax level. The Collection Fund balance only affects the level of the Council Tax; it does not have any bearing on the Council's spending requirement.

- 5.2 Taking the above factor into account, this Council's requirement from the Council Tax for 2014/15, including a comparison with 2013/14, is calculated as follows:-

	<u>2014/15</u>	<u>2013/14</u>
	£	£
Total to be met from Council Tax	5,284,764	5,248,875
Council Tax Base	27,864.41	27,675.18
DDDC Council Tax - Band D	£189.66	£189.66

- 5.3 Under the Localism Act 2012 and the Local Authorities (Conduct of Referendums) (Council Tax Increases) (England) Regulations 2012, the Secretary of State for Communities and Local Government has proposed the council tax principles he is minded to set for 2014-15, i.e. that authorities will be required to seek the approval of their local electorate in a referendum if, compared with 2013-14, they set a council tax increase of 2% or more.

6. Medium Term Financial Plan

- 6.1 In considering its spending requirements the Council must have regard to its future commitments and its ability to finance those requirements either internally through balances or through its precept on the Council Tax. It is necessary, within the limitations inherent in any forward planning exercise, to consider the implications of future spending needs and produce a financial strategy to deal with them.
- 6.2 The Medium Term Financial Plan, shown at Appendix 5, sets out in broad terms the anticipated future spending requirements. This takes account of current and known additional requirements. The quantified additional requirements are based on the planned intentions of the Council and any future impact of decisions already implemented, but cannot be conclusive, as other changes will undoubtedly occur over time.
- 6.3 The Medium Term Financial Plan demonstrates that, whilst there is a balanced budget in the financial year 2014/15, over the two year period 2014/15 to 2015/16, there is still a need to achieve savings of approximately £127,000. Savings have been identified that should cover the above savings target, including a further allocation of New Homes Bonus

6.4 However, the medium – term financial plan shows that further grant losses are expected in the period 2016/17 to 2019/20, and that as result, savings of around £1.9 million could be required over the next five years.

7. Reserves and Balances

In examining the immediate and longer term spending plans, for both revenue and capital, it is necessary to consider the levels of balances which are available and, of those, the ones that will be required to meet spending plans. Transfers to/from reserves are detailed in Appendix 6. The estimated position on the Council's Reserves and Balances as at 31st March 2014 and future years is detailed in Appendix 7.

A number of points need to be taken into account:-

7.1 Working Balances

It is considered essential that the Council retains a level of uncommitted balances to meet emergency, unforeseen and unknown eventualities. This includes positive opportunities that may arise as well as disastrous or onerous liabilities.

In the absence of these balances any such expenditure would fall directly on the General Fund and Council Tax requirement. This could result in significant financial consequences for service provision. Whilst it is impossible to advise on the precise level because of the uncertainty involved, it is considered prudent to retain uncommitted working balances of approximately 10% of net revenue expenditure. Working balances at 1st April 2013 are set at £1,000,000, which is considered adequate for the purpose described above.

7.2 Use of Balances

The effect of the Council's spending proposals and commitments on the General Reserve is shown in the Medium Term Financial Plan in Appendix 5, and a summary of reserves is given in Appendix 7. It can be seen that the estimated General Reserve balance is almost £985,000 at 1st April 2014.

Balances, by their very nature, can be used once. Therefore, the continued use of balances to support ongoing spending is not sustainable beyond the life of the available amount. A strategy which is based on the continued use of balances to support regular spending can only have a finite life. Therefore, in looking at the use of available balances regard must be taken of the future demands upon them in terms of both capital and revenue spending. In addition, interest is earned on the investment of unused balances. Utilisation of balances will therefore reduce the interest earned in future years.

It should be noted that the approved Financial Strategy allows the General Reserve to be used for meeting “one-off” expenditure or for “invest-to-save” proposals, but restricts its use for funding ongoing revenue expenditure to exceptional circumstances.

7.3 Earmarked Reserves

The Council has strategic reserves for specific purposes and these should continue to be earmarked for the identified purpose. This ensures the availability of the amounts in these reserves for those purposes and defrays demands on the revenue spending and general balances. Details of transfers to/from reserves are shown in Appendix 6 and details of earmarked reserves are given in Appendix 7.

It is important that reserves are reviewed on at least an annual basis to ensure they are adequate for the purpose, but not excessive, based on an assessment of needs, an understanding of risks, and taking into account the opportunity costs of maintaining reserves. An annual review of earmarked reserves is given at Appendix 8. The

statement lists the various earmarked reserves, the purposes for which they are held, and provides advice on the appropriate levels.

Following this review of reserves, earmarked reserves are estimated to total £3.66 million at 31st March 2014 and £3.58 million at 31st March 2015.

8 Head of Resources' Report

8.1 Clause 25 of Part 2 of the Local Government Act 2003 requires that the Officer appointed for the purposes of Section 151 of the Local Government Act 1972 must, when calculating the net budget requirement, report to Members on:

- the robustness of the estimates made for the purposes of the calculation;
- the adequacy of the proposed financial reserves.

8.2 In accordance with this requirement, the Head of Resources is of the opinion that the processes used in calculating the net budget requirement are robust. In reaching this opinion, the Head of Resources is satisfied that adequate account has been taken of the following factors:

- last year's outturn;
- pay & price increases;
- pension contributions;
- the impact of interest rate movements;
- increased demand for services;
- the revenue impact of capital investment;
- local predictions of future grant settlements;
- debt recovery performance;
- future Council Tax Base changes;
- the timing and level of capital receipts;
- a realistic forecast has been made of major income streams, e.g. car park income;
- the effect of the V.A.T. partial exemption calculation;
- resource allocations are in line with Council policy and priorities;
- the budget process is supported by clear guidelines in the approved Financial Strategy, a clear timetable with allocated roles and responsibilities, and a Budget Manual for staff involved in the preparation of estimates

8.3 The Head of Resources is also satisfied that the Council's Financial Reserves, as summarised in Appendices 7 & 8, are adequate. In reaching this opinion, the Head of Resources has taken into account the following factors:

- the budget process is robust and accurate for the reasons given above;
- an assessment has been made of the major risks;
- the Council does not have a history or culture of overspending its budgets;
- the level of reserves has been determined with regard to CIPFA guidance on local authority reserves and balances (LAAP Bulletin 77);
- the Council has adequate systems of budgetary control throughout the year.

9. Town/Parish Precepts

- 9.1 The precepts of Town/Parish Councils for 2014/15 are shown in Appendix 9 to this report and total £1,270,187 an increase of £43,713 (3.6%) over 2013/14. In addition, Parishes are receiving “tax base support grant” of £71,153 in 2014/15 compared with £95,529 in 2013/14. This is paid by the District Council to local precepting authorities to reduce the effect of a lower tax base resulting from the introduction of the Local Council Tax Support Scheme. Taking this into account, Town/Parish Councils are increasing their income by £19,337 (1.5%) over 2013/14.
- 9.2 These precepts have to be shown as part of the District Council's requirements as detailed in the Consolidated Revenue Account. As part of Council Tax setting, the individual Town/Parish precepts become a special expense chargeable against each specific area and are raised from the Council Tax levied on that area.
- 9.3 The average Parish Council Tax increases from £44.32 in 2013/14 to £45.58 in 2014/15, an increase of £1.26 (2.8%).

10. Consultation

- 10.1 Consultation on the District Council's spending plans has been carried out at two levels. At service level, consultation has taken place where changes to services have been proposed, including the Car Parking Review (Council meeting 11/12/13 refers) and Review of Street Cleansing and Grounds Maintenance (Environment Committee 28/11/13 refers). Implementation of these reviews has helped enable the savings requirement / additional income necessary for 2014/15 to be achieved.
- 10.2 At the corporate level, a series of Community Forum meetings has been held with Council Tax payers to discuss the Council's budget and Council Tax proposals. Meetings have been held as follows:
- 3rd February, 2014 – Southern Community Forum, Ashbourne Leisure Centre
 - 10th February, 2014 – Central Community Forum, Matlock Town Hall
 - 17th February 2014 – Northern Community Forum, Bakewell Agricultural Business Centre
- 10.3 The statutory meeting to consult representatives of National Non-Domestic Ratepayers was held on 20th February 2014. The meeting was attended by representatives from Derbyshire & Nottinghamshire Chamber of Commerce, Bakewell Traders, and Bakewell at Work.
- 10.4 Consultation also took place via the Council's website during February, 2014.

11. Risk Assessment

11.1 Legal

The Local Government Finance Act 1992 requires the Council to set the Council Tax by 11th March for the following financial year. The legal risk arising from the report is low.

A new requirement (by way of Standing Order 2014 No. 165), to adopt a mandatory standing order came into force on 25 February, 2014. The provisions require that immediately after any vote is taken at a budget decision meeting of an authority there must be a recorded vote in the minutes of the proceedings of that meeting showing the names of the persons who cast a vote for the decision or against the decision or who abstained from voting. It has not been possible to incorporate such a standing order

within the Council's Constitution given the short timescales, however it is considered prudent to comply with the intention of the new Regulation and a recorded vote will be taken once a decision on this item has been taken.

11.2 Financial

Significant risks within the revenue budget include:

- Uncertainty about the level of Government grant with effect from 2016/17
- Targeted savings not being achieved. However prudence has been exercised in identifying savings to ensure that these are deliverable, or have already been achieved.
- Significant income items not being achieved. The Council has no direct control over, for example, the level of car parking income, which is affected by factors such as the weather. This source of income is significant to the Council's budget process.

The financial risk in respect of the Council's long-term financial position is assessed as "high".

11.3 Corporate Risk

The District Council has a legal duty to pay due regard to the need to eliminate discrimination and promote equality when setting the budget. To achieve this, equality impact assessments have been undertaken as proposals have been developed to establish the potential impacts of significant changes to services where a need for savings has been identified. EIAs of the two principle areas for savings / increasing income referred to in 10.1 were undertaken and the results considered prior to the recommendations of these reviews being implemented.

12 Other Considerations

In preparing this report, the relevance of the following factors has also been considered: prevention of crime and disorder, equalities, environmental, climate change, health, human rights, personnel and property considerations.

13 Attachments

- Appendix 1 Service Summary
- Appendix 2 Summary Revenue Account
- Appendix 3 Variations in revised spending proposals 2013/14 compared with original estimate
- Appendix 4 Variations in spending proposals 2014/15 compared to 2013/14 original estimate
- Appendix 5 Medium Term Financial Plan
- Appendix 6 Transfers to and from Earmarked Reserves
- Appendix 7 Summary of Revenue Balances, Provisions and Earmarked Reserves
- Appendix 8 Annual review of Earmarked Reserves
- Appendix 9 Parish Precepts

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BACKGROUND PAPERS

Date	Description	File
05/02/14	Letter from DCLG – “New Homes Bonus – Final 2014/15 Allocation for Derbyshire Dales District Council”	Budget - 2014/15
05/02/14	Email from DCLG – “Local Government Finance Settlement and Council Tax Referendum Principles 2014/15”	RSG - 2014/15
14/02/14	Email from DCLG – “Council Tax Referendums Information Note 2014/15”	Budget - 2014/15

SERVICE SUMMARY

	2012/13	2013/14	2013/14	2014/15
	Actual	Estimate	Revised	Estimate
CORPORATE COMMITTEE				
Corporate & Democratic Costs	1,655,267	1,669,003	1,732,301	1,615,631
Elections & Electoral Registration	102,588	121,346	118,121	132,007
Development Control & Planning Policy	491,196	491,671	589,677	531,042
Property Services	75,213	129,679	135,290	127,383
Corporate Committee Total	2,324,264	2,411,699	2,575,389	2,406,063
COMMUNITY COMMITTEE				
Environmental Health	627,671	689,346	788,663	764,227
Licensing	20,381	42,203	52,980	54,706
Leisure Services	1,850,238	1,894,520	1,755,765	1,661,848
Revenues & Benefits	301,267	368,537	189,269	151,908
Other Central Services	194,073	204,373	262,655	312,568
Private Sector Housing	651,095	1,492,492	1,306,945	1,631,507
Economic Development	144,268	141,475	185,326	790,247
Grants & Subsidies	276,002	246,265	124,670	119,032
Community Committee Total	4,064,995	5,079,211	4,666,273	5,486,043
ENVIRONMENT COMMITTEE				
Building Control	46,486	39,879	54,564	67,498
Land Drainage	1,083	5,753	5,753	5,926
Parks & Cemeteries	909,581	961,169	980,899	901,379
Waste Management	2,116,620	2,075,110	2,403,391	2,397,388
Car Parking	-1,275,813	-1,437,166	-1,511,922	-1,519,698
Markets	43,398	66,538	40,287	49,095
Planning: Conservation	122,242	114,585	143,000	140,425
Planning: Tree Preservation	38,165	38,331	43,077	44,875
Tourism, including Illuminations	276,676	288,006	289,244	244,241
Countryside Management	39,423	44,584	56,163	53,702
Public Conveniences	309,341	466,733	450,224	439,724
Street Cleansing	684,717	923,883	918,525	835,293
Local Land Charges	-8,660	-4,623	-8,324	-15,639
Environment Committee Total	3,303,259	3,582,782	3,864,881	3,644,209
NET COST OF SERVICES	9,692,518	11,073,692	11,106,543	11,536,315

SUMMARY REVENUE ACCOUNT

	Actual 2012/13 £	Estimate 2013/14 £	Revised Estimate 2013/14 £	Estimate 2014/15 £	
NET COST OF SERVICES	9,692,518	11,073,692	11,106,543	11,536,315	
Interest on Balances	(50,080)	(30,000)	(34,000)	(35,000)	
Borrowing Interest Paid	226,139	235,000	226,000	228,000	
Settlement Funding Assessment:					
Revenue Support Grant	(60,626)	(2,030,070)	(2,030,069)	(1,525,496)	
Retained Business Rates	(2,985,833)	(1,350,550)	(1,350,550)	(1,376,859)	
Local Precepting Authorities Support Grant	0	95,529	95,529	71,153	
Homelessness Prevention Funding	0	(140,672)	(140,672)	(138,567)	
Council Tax Freeze Grant 2011/12	(141,669)	(141,669)	(141,669)	(141,036)	
Council Tax Freeze Grant 2012/13	(141,640)	0	0	0	
Council Tax Freeze Grant 2013/14	0	(52,488)	(56,727)	(56,727)	
Council Tax Freeze Grant 2014/15	0	0	0	(56,901)	
Local Services Support Grant	(141,470)	0	0	0	
New Homes Bonus	(316,105)	(535,539)	(535,539)	(695,775)	
New Homes Bonus Adjustment Grant	0	(12,404)	(12,404)	0	
Rural Services Delivery Grant	0	(38,442)	(38,442)	(56,958)	
Disabled Facility Grant	(234,137)	(172,000)	(176,717)	(172,000)	
Pensions Adjustments	375,000	293,000	78,000	78,000	
Capital & Other Items	243,434	183,797	453,270	(877,290)	
Adj. For MRP/Depreciation	(1,243,917)	(1,109,482)	(1,157,226)	(1,098,000)	
Building Control Surplus	42,149	48,578	36,709	28,267	
Collection Fund Deficit	48,492	10,007	10,007	8,092	
Transfer to/from Reserves (see App. 5)	163,776	(1,077,412)	(1,083,334)	(434,992)	
DISTRICT NET EXPENDITURE	5,476,031	5,248,875	5,248,709	5,284,226	
Contribution to General Reserve	189,584	0	166	538	
DISTRICT COUNCIL TAX REQUIREMENT	5,665,615	5,248,875	5,248,875	5,284,764	
Town and Parish Council Precepts	1,232,921	1,226,474	1,226,474	1,270,187	
TOTAL REQUIREMENT INCL. PARISHES	6,898,536	6,475,349	6,475,349	6,554,951	
Council Tax Base	29,872.48	27,675.18	27,675.18	27,864.41	
BASIC AMOUNT OF TAX BAND D (including Parishes)	£230.93	£233.98	£233.98	£235.24	0.5% increase
DDDC AMOUNT OF TAX BAND D	£189.66	£189.66	£189.66	£189.66	0% increase
PARISH COUNCIL BAND D	£41.27	£44.32	£44.32	£45.58	2.8% increase

**SIGNIFICANT VARIATIONS IN REVISED SPENDING PROPOSALS 2013/14
COMPARED TO ORIGINAL ESTIMATE**

<u>Item</u>	<u>Variance</u>
<u>Increases in Cost / Reductions in Income</u>	<u>£000s</u>
Matlock Bath Illuminations – lower ticket sales & trader contributions	20
Purchase of additional recycling containers	45
Audio equipment for Council Chamber	30
Provision for redundancy and pension shortfall payments	197
Contribution to Property Repairs Reserve	104
Transfer from Job Evaluation Reserve not required	54
Other	33
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Total Increases in Cost / Reductions in Income	483
<u>Reductions in Cost / Increases in Income</u>	
Ashbourne Tourist Information Centre	(46)
Leisure Centre staffing costs	(42)
Reduction in electricity, gas & water costs at Arc Leisure Matlock	(70)
Increase in income at leisure Centres	(131)
Savings resulting from Arvato contract	(33)
Discretionary Rate Relief now chargeable to Collection Fund	(127)
Planning fees higher than anticipated	(34)
	<hr/>
Total Reductions in Cost / Increases in Income	(483)
Increase in revised budget proposals for 2013/14, compared with original estimate	<hr/> 0 <hr/>

**SIGNIFICANT VARIATIONS IN SPENDING PROPOSALS 2014/15
COMPARED TO 2013/14 ORIGINAL ESTIMATE**

<u>Item</u>	<u>Variance</u>
	<u>£000s</u>
<u>Increases in Cost / Reductions in Income</u>	
Provision for 1% increase in employer pension contributions following revaluation	52
1% Pay award contingency	64
Provision for inflation	68
Reduction in Housing Benefit Administration Grant	29
Matlock Bath illuminations – projected lower ticket sales & trader contributions	23
Reduction in Settlement Funding Assessment	481
Transfer from Job Evaluation Reserve not required	54
Contribution to Property Repairs Reserve	100
Contribution to Vehicle Renewals Reserve	290
Contribution to Office Technology Reserve	50
Total Increases in Cost / Reductions in Income	1,211
<u>Reductions in Cost / Increases in Income</u>	
Savings resulting from APSE review of Grounds Maintenance & Street Cleansing	(134)
Savings from Arvato contract	(73)
Savings from management restructure	(68)
Increase in car parking charges	(150)
Savings in Leisure Centre staffing costs	(45)
Reduction in energy costs at Arc Leisure Matlock	(60)
Discretionary Rates Relief now chargeable to Collection fund	(127)
Council Tax Freeze Grant 2014/15	(57)
Increase in New Homes Bonus	(148)
Increase in income from Council Tax due to higher tax base	(36)
Projected increase in Leisure Centre income	(148)
Projected increased income from Planning Fees	(20)
Ashbourne Tourist Information Centre	(58)
Savings in Democratic Services staffing costs following restructure	(26)
Savings arising from new procurement arrangements following Administration Review	(47)
Other	(14)
Total Reductions in Cost / Increases in Income	(1,211)
Reductions in budget proposals for 2014/15, compared with 2013/14 original estimate	0

MEDIUM TERM FINANCIAL PLAN

	Note	Revised Estimate 2013/14	Estimate 2014/15	Forecast 2015/16	Forecast 2016/17	Forecast 2017/18	Forecast 2017/18	Forecast 2017/18
		£000s	£000s	£000s	£000s	£000s	£000s	£000s
Existing Spending Level		9,451	8,893	9,333	9,039	9,167	9,387	9,613
Future Commitments / savings								
Reduction in Benefits Administration Subsidy				25	25	25	25	25
Inflation – pay awards	1			136	136	136	136	136
Inflation - general	2			63	56	59	65	71
Reduction in support for Parish tax base				(24)	(24)			
Pensions past service deficit recovery				11	20			
Reduction in salaries charges to capital				28				
Administration service review				(63)	(104)			
“One – off” transfer to Property Repairs reserve		104	100	(100)				
“One – off” transfer to Vehicle Renewals reserve			290	(290)				
“One – off” transfer to Office Technology reserve			50	(50)				
Transfer from Job Evaluation reserve				(54)				
Minimum revenue provision for debt repayment				1	1			
Reduction in net investment interest				23	18			
Total Spending Requirements		9,555	9,333	9,039	9,167	9,387	9,613	9,845
Financed by:								
Revenue Support Grant	3	(2,030)	(1,525)	(1,010)	(717)	(449)	(205)	0
Retained Business Rates		(1,351)	(1,377)	(1,415)	(1,450)	(1,487)	(1,524)	(1,562)
Homelessness Prevention Grant		(141)	(139)	(138)	(138)	(138)	(138)	(138)
Council Tax Freeze Grant 2011/12		(142)	(141)	(141)	(141)	(141)	(141)	(141)
Council Tax Freeze Grant 2013/14		(57)	(57)	(57)	(57)	(57)	(57)	(57)
Council Tax Freeze Grant 2014/15			(57)	(57)	(57)	(57)	(57)	(57)
Council Tax Freeze Grant 2015/16			(57)	(57)	(57)	(57)	(57)	(57)
Rural Services Delivery Grant		(38)	(57)	(57)	(57)	(57)	(57)	(57)
Total Settlement Funding Assessment		(3,759)	(3,353)	(2,932)	(2,674)	(2,443)	(2,236)	(2,069)
New Homes Bonus – 2011/12 Allocation		(169)	(169)	(169)	(169)			
New Homes Bonus – 2012/13 Allocation		(147)	(147)	(147)	(147)	(147)		
New Homes Bonus – 2013/14 Allocation		(219)	(219)	(219)	(219)	(219)	(219)	
New Homes Bonus – 2014/15 Allocation			(160)	(160)	(160)	(160)	(160)	(160)
New Homes Bonus – Adjustment Grant		(12)						
Total New Homes Bonus	4	(547)	(695)	(695)	(695)	(526)	(379)	(160)
Financing from Council Tax	5	(5,249)	(5,285)	(5,285)	(5,388)	(5,493)	(5,600)	(5,709)
Total Income		(9,555)	(9,333)	(8,912)	(8,757)	(8,462)	(8,215)	(7,938)
DEFICIT / CORPORATE SAVINGS TARGET		0	0	127	410	925	1,398	1,907

Notes

1. Assumes pay awards of 1% in 2014/15 and 2% in 2015/16 to 2019/20
2. General inflation assumed at 2.5% in 2014/15 to 2019/20
3. Assumes a 10% reduction in Settlement Funding Assessment from 2016/17 to 2019/20
4. No additional award of New Homes Bonus is assumed after 2014/15
5. Assumes Council Tax will be frozen in 2014/15 and 2015/16, and will increase by 1.95% per year in 2016/17 to 2019/20

TRANSFERS TO AND FROM EARMARKED RESERVES

	Actual 2012/13 £	Estimate 2013/14 £	Revised Estimate 2013/14 £	Estimate 2014/15 £
Transfers to Reserves				
Vehicle Renewals Reserve	150,000	140,156	140,000	290,000
Property Repairs Reseve	0	0	104,000	100,000
Elections Reserve	10,000	10,000	10,000	10,000
Invest-to-Save Reserve	0	0	165,000	0
Office Technology Reserve	110,000	0	0	50,0000
Wheeled Bins Reserve	150,960	0	0	0
Revenue Grants Unapplied	456,765	68,732	200,186	70,246
Committed Expenditure Reserve	135,607	15,000	15,000	0
	1,013,332	233,888	634,186	520,246
Less: Transfers from Reserves				
Job Evaluation Reserve	0	54,000	0	0
Concessionary Fares Reserve	10,000	0	0	0
Revenue Grants Unapplied	417,923	736,116	699,252	411,814
Insurances Reserve	29,645	20,000	15,000	15,000
Economic Development Reserve	0	4,000	4,000	0
Invest-to-Save Reserve				20,000
Local Plan Reserve	5,649	35,000	87,800	35,457
Committed Expenditure Reserve	80,724	15,500	207,117	4,062
	543,941	864,616	1,013,169	486,333
Financing Capital – Capital Grants Unapplied	0	0	7,748	5,109
Financing Capital – Revenue Grants Unapplied	0	0	20,000	0
Financing Capital – DRF Reserve	73,792	365,000	349,676	240,000
Financing Capital – Bakewell ABC	0	0	17,242	0
Financing Capital – Vehicle Renewals	60,502	66,914	219,465	208,796
Financing Capital – Carsington Improvements	0	14,770	38,000	15,000
Financing Capital – Office Technology	109,902	0	44,394	0
Financing Capital – Committed Expenditure	61,420	0	7,826	0
	849,556	1,311,300	1,717,520	955,238
TOTAL	163,776	-1,077,421	-1,083,334	-434,992

SUMMARY OF REVENUE BALANCES, PROVISIONS AND EARMARKED RESERVES

	Balance at 1st. April 2013	Estimated Contribution in 2013/14	Estimated use in 2013/14	Estimated Balance at 31st. March 2014	Estimated Contribution in 2014/15	Estimated Use use in 2014/15	Estimated Balance at 31st. March 2015
	£	£	£	£	£	£	£
Revenue Balances							
General Fund Working Balance*	1,000,000	0	0	1,000,000	0	0	1,000,000
General Reserve	984,473	166	0	984,639	538	0	985,177
Building Control	-107,490	36,709	0	-70,781	28,267	0	-42,514
Other Minor Balances	1,093	0	0	1,093	0	0	1,093
	1,878,076	36,875	0	1,914,951	28,805	0	1,943,756
Provisions							
Insurances	53,000	0	0	53,000	0	0	53,000
Earmarked Reserves							
Bakewell A.B.C. Repairs	31,378	0	17,242	14,136	0	0	14,136
Carsington Improvements	69,045	0	38,000	31,045	0	15,000	16,045
Committed Expenditure	240,181	15,000	214,943	40,238	0	4,062	36,176
Direct Revenue Financing of Capital Expenditure	1,726,850	0	349,676	1,377,174	0	240,000	1,137,174
Economic Development	65,475	-	4,000	61,475	0	0	61,475
Elections	83,696	10,000	0	93,696	10,000	0	103,696
Insurances	489,281	0	15,000	474,281	0	15,000	459,281
Invest-to-Save	0	165,000	0	165,000	0	20,000	145,000
Office Technology Renewals	488,113	0	44,394	443,719	50,000	0	493,719
Property Repairs	57,133	104,000	0	161,133	100,000	0	261,133
Local Plan	123,257	0	87,800	35,457	0	35,457	0
Vehicle Renewals	288,261	140,000	219,465	208,796	290,000	208,796	290,000
Wheeled Bins	151,406	0	0	151,406	0	0	151,406
Job Evaluation	383,000	0	0	383,000	0	0	383,000
Member / Officer Indemnity	25,000	0	0	25,000	0	0	25,000
	4,222,076	434,000	990,520	3,665,556	450,000	538,315	3,577,241
Other							
Revenue Grants Unapplied **	1,741,465	200,186	719,252	1,222,399	70,246	411,814	880,831
TOTAL	7,894,617	671,061	1,709,772	6,855,906	549,051	950,129	6,454,828

* The General Fund Working Balance is specified as a “controlled reserve” for the purposes of Section 26 of the Local Government Act 2003.

** New requirement following the implementation of International Financial Reporting Standards

ANNUAL REVIEW OF EARMARKED RESERVES

Earmarked Reserve	Purpose	Appropriate Balance
Bakewell Agricultural Business Centre Repairs	To provide funding towards future repairs at the Bakewell Agricultural Business Centre.	As per Appendix 7.
Carsington Improvements	To provide grants towards projects in Parishes bordering Carsington Reservoir.	The reserve was initiated with a deposit from Severn Trent Water, and will continue until total grants awarded result in a balance of nil.
Committed Expenditure	Annual contributions in respect of expenditure which has been committed, but service not received at the end of the financial year, therefore an accrual is not appropriate.	As per Appendix 7.
Direct Revenue Financing of Capital Expenditure	To provide additional future funding for the capital programme.	As per Appendix 7.
Economic Development	To provide funding for economic development initiatives.	As per Appendix 7.
Elections	Annual revenue contributions to smooth the cost of four-yearly District Council elections.	Approximately £100,000 by 31 st March 2015 towards the cost of the May 2015 election.
Insurances	To provide funding for uninsured losses.	A maximum balance of approximately £500,000 is considered appropriate.
Invest-to-Save	To provide funding towards, for example, interim and temporary resources to provide additional capacity and skills to support the change agenda, subject to suitable business cases	As per Appendix 7

Earmarked Reserve	Purpose	Appropriate Balance
Property Repairs	Originally created from the saving arising from the property repairs tender, to provide funding for future property repairs	Further contributions of £104,000 in 2013/14 and £100,000 in 2014/15 in order to provide funding to maintain the Council's property assets in good condition as and when the Property Condition Survey is reviewed
Technology Renewals	To provide funding for renewal of the Council's information technology equipment, including the telephone switchboard & central printing equipment.	As per Appendix 6.
Local Plan	Annual revenue contributions to smooth the cost of four-yearly review of the local plan.	As per Appendix 6.
Vehicle Renewals	To provide for the replacement of vehicles.	As per Appendix 6.
Wheeled Bins	To provide for the replacement of wheeled bins.	As per Appendix 6.
Job Evaluation	To provide funding for approximately 8 years for the additional cost of implementing job evaluation / single status.	As per Appendix 6
Member/Officer Indemnity	To indemnify Members and officers against acts or omissions subsequently found to be ultra vires, and against defence costs of criminal proceedings.	£25,000 in accordance with minute 588/05.

APPENDIX 9

PARISH PRECEPTS

PARISH PRECEPT 2013/14	BAND D COUNCIL TAX 2013/14	PARISH	PARISH PRECEPT 2014/15	COUNCIL TAX BASE 2014/15	BAND D COUNCIL TAX 2014/15	INCREASE IN BAND D COUNCIL TAX
£	£		£		£	%
191,139	69.97	Ashbourne	191,166	2,774.91	68.89	-1.54
105,000	66.29	Bakewell	105,000	1,605.39	65.40	-1.34
72,100	36.35	Darley Dale	78,000	2,041.13	38.21	5.12
240,335	74.09	Matlock	255,263	3,258.12	78.35	5.75
42,766	62.97	Tideswell	42,644	677.21	62.97	0
108,423	63.30	Wirksworth	112,000	1,727.28	64.84	2.43
0	0	Alkmonton & Hungry Bentley*	0	63.10	0	
9,537	42.52	Ashford-in-the-Water	13,170	227.01	58.02	36.45
2,684	31.96	Ballidon & Bradbourne	2,765	86.53	31.95	-0.03
14,166	22.28	Baslow & Bubnell	14,150	639.98	22.11	-0.76
4,024	51.97	Beeley	4,097	75.98	53.92	3.75
4,000	32.31	Birchover	4,200	124.01	33.87	4.83
10,934	33.57	Bonsall	10,934	324.00	33.75	0.54
675	7.38	Boylestone	675	91.20	7.40	0.27
1,250	8.73	Bradley	1,250	145.43	8.60	-1.49
36,266	64.82	Bradwell	39,526	567.29	69.68	7.50
6,000	12.95	Brailsford	5,800	466.16	12.44	-3.94
9,068	35.88	Brassington	9,044	252.07	35.88	0
7,500	21.80	Calver	7,394	339.18	21.80	0
4,750	37.88	Carsington & Hopton	4,750	128.06	37.09	-2.09
5,435	40.13	Chelmorton	5,435	138.39	39.27	-2.14
3,675	18.09	Clifton	4,000	204.57	19.55	8.07
14,229	27.08	Cromford	16,000	524.10	30.53	12.74
900	9.86	Cubley	900	93.28	9.65	-2.13
5,600	24.41	Curbar	5,800	231.85	25.02	2.50
10,126	17.38	Doveridge	10,175	585.47	17.38	0
500	8.70	Eaton, Alsop & Newton Grange	500	57.53	8.69	-0.11
750	8.71	Edlaston & Wyaston	730	83.77	8.71	0
7,149	46.57	Elton	7,149	153.83	46.47	-0.21
21,622	51.80	Eyam	21,000	413.94	50.73	-2.07
1,151	16.24	Fenny Bentley	1,288	72.87	17.68	8.87
1,500	19.66	Flagg	1,500	77.11	19.45	-1.07
2,000	24.64	Foolow	2,200	82.77	26.58	7.87
990	7.67	Froggatt	920	128.98	7.13	-7.04
16,166	45.54	Great Longstone	16,230	345.98	46.91	3.01
6,053	14.92	Grindleford	6,280	420.88	14.92	0
1,900	13.38	Hartington, Middle Quarter	1,800	143.57	12.54	-6.28
4,658	28.80	Hartington, Nether Quarter	5,500	167.52	32.83	13.99
9,651	60.12	Hartington, Town Quarter	8,238	159.57	51.63	-14.12
500	11.48	Hassop	500	42.41	11.79	2.70
985,272		Balance Carried Forward	1,017,973			

PARISH PRECEPTS (CONTINUED)

PARISH PRECEPT 2013/14	BAND D COUNCIL TAX 2013/14	PARISH	PARISH PRECEPT 2014/15	COUNCIL TAX BASE 2014/15	BAND D COUNCIL TAX 2014/15	INCREASE IN BAND D COUNCIL TAX
£	£		£		£	%
985,172		Balance Brought Forward	1,017,973			
26,000	46.00	Hathersage	29,200	564.42	51.73	12.46
4,079	33.13	Hognaston	4,202	125.15	33.58	1.36
1,600	15.48	Hollington	1,600	101.97	15.69	1.36
2,500	18.60	Hucklow, Great & Little, & Grindlow	2,500	136.10	18.37	-1.24
10,800	27.99	Hulland Ward	11,039	394.40	27.99	0
8,000	35.61	Kirk Ireton	9,000	222.71	40.41	13.48
4,230	25.95	Kniveton	4,168	160.63	25.95	0
7,310	24.49	Litton	7,206	294.23	24.49	0
3,400	21.80	Longford	3,400	157.71	21.56	-1.10
1,250	21.79	Mappleton	1,335	56.82	23.50	7.85
3,000	18.11	Marston Montgomery	2,917	161.09	18.11	0
23,626	80.99	Matlock Bath	23,969	300.12	79.86	-1.40
9,600	38.29	Middleton by Wirksworth	9,514	248.46	38.29	0
2,250	37.93	Middleton & Smerrill	2,700	64.56	41.82	10.26
4,000	28.70	Monyash	4,000	141.01	28.37	-1.15
1,200	8.92	Norbury & Roston	1,200	129.67	9.25	3.70
2,500	10.55	Northwood & Tinkersley	2,500	237.80	10.51	-0.38
1,750	7.72	Offcote & Underwood	500	229.84	2.18	-71.76
2,310	15.52	Osmaston & Yeldersley	2,161	149.00	14.50	-6.57
15,138	59.09	Outseats	15,294	248.35	61.58	4.21
3,500	29.26	Over Haddon	3,750	118.12	31.75	8.51
6,189	29.64	Parwich	9,267	208.88	44.37	49.70
600	10.70	Pilsley	621	58.05	10.70	0
3,087	17.46	Rodsley & Yeaveley	2,994	171.48	17.46	0
6,300	36.17	Rowsley	6,300	177.31	35.53	-1.77
1,250	32.68	Sheldon	1,250	39.81	31.40	-3.92
5,200	40.39	Shirley	6,900	127.36	54.18	34.14
250	2.62	Snelston	300	93.76	3.20	22.14
5,000	16.70	South Darley*	5,000	302.91	16.51	-1.14
6,300	38.84	Stanton-in-the-Peak	6,300	161.26	39.07	0.59
9,828	50.91	Stoney Middleton	10,123	195.98	51.65	1.45
6,960	44.72	Sudbury	6,787	151.76	44.72	0
5,095	26.02	Taddington	5,253	196.99	26.67	2.50
13,707	28.33	Tansley	13,707	492.16	27.85	-1.69
3,400	40.91	Thorpe	3,400	84.41	40.28	-1.54
2,256	33.59	Tissington & Lea Hall	2,292	63.87	35.89	6.85
200	3.59	Wardlow	0	55.86	0	-100.00
12,042	47.51	Winster	12,042	249.88	48.19	1.43
15,595	36.35	Youlgreave	17,523	428.19	40.92	12.57
		All other Parts of the Council's Area		619.90		
1,226,474	44.32	Total	1,270,187	27,864.41	45.58	

COUNCIL
6 MARCH 2014

Report of the Chief Executive

CAPITAL PROGRAMME & FINANCING – PRUDENTIAL INDICATORS – INVESTMENT & TREASURY MANAGEMENT POLICY AND STRATEGIES – MRP STRATEGY

SUMMARY

This report:

- Determines the revised Capital Programme for 2013/14.
- Determines the Capital Programme and Financing arrangements for 2014/15.
- Outlines Capital Programme proposals for 2015/16 to 2017/18.
- Sets the Prudential Indicators as required under the Local Government Act 2003.
- Determines the Investment and Treasury Management Policy and Strategies as required by the Local Government Act 2003 and CIPFA.
- Determines Minimum Revenue Provision Strategy for 2014/2015.

RECOMMENDATION

1. That the revised Capital Programme for 2013/14 as detailed in Appendix 1 in the sum of £2,453,424 be approved.
2. That the Capital Programme and financing arrangements for 2014/15 in the sum of £2,971,776 as detailed in Appendix 1 be approved.
3. That the Capital Programme proposals for 2015/16 to 2017/18 as detailed in Appendix 1 be noted.
4. That the Prudential Indicators set out in Appendix 2 be approved.
5. That the Investment and Treasury Management Policy and Strategies set out in Appendix 3 be approved.
6. That the Minimum Revenue Provision (MRP) Strategy set out in Appendix 4 for 2014/15 be approved.

WARDS AFFECTED

All

STRATEGIC LINK

The above recommendations contribute to all of the Council's Corporate Plan Priorities.

1 REPORT

1.1 Capital Programme

The recommendation for capital spending on the Council's services are presented for consideration. This incorporates a revision to the spending proposals for 2013/14 together with any new schemes put forward.

The General Fund Capital Programme for 2013/14 (Revised) and 2014/15 (Estimate) is detailed on a scheme basis in Appendix 1. The 2014/15 programme is summarised as follows:

Priority	2014/15 Recommended Capital Programme
	£
Housing which meets local needs	1,021,000
A clean, green and prosperous Dales	871,000
Safe and healthy communities	556,400
Other	495,000
Capital Salaries and Support Service Charges	28,376
	2,971,776

The spending proposals for 2014/15 total £2,971,776, which includes salaries and support services charges of £28,376 and is the aggregate of the estimated scheme costs. All estimated grants and contributions are dealt with as part of the financing arrangements. The major items in the General Fund spending proposals where expenditure in 2014/15 is estimated to be in excess of £250,000 are:

	£000
Changing Rooms – Ashbourne Leisure Centre	272
Improvement Grants – Disabled Facilities	280
Repayment of Derelict Land Grants	637
Social Housing Grants	696

1.2 Capital Financing

The Financing of the Capital Programme is subject to the rules and regulations of the Prudential Capital Finance System. The Prudential System allows the Council freedom to finance capital expenditure by borrowing if it is considered affordable, prudent and sustainable. The Capital Programme financing must fall within the aggregate of the following:

- Affordable External Borrowing
- Availability of Capital Receipts
- Grants/Contributions from other persons/bodies
- Contributions from Revenue Accounts

The resources generated by external borrowing and revenue contributions above are limited to the extent which they can fall on the General Fund Account by the limits imposed on

revenue spending (Council Tax Capping) and the availability of uncommitted revenue balances.

As detailed above, the Capital Spending in any financial year has to fall within the aggregate of the resources available. The financing arrangements below incorporate the following factors:

- Capital Receipts – The Council will have committed all the general capital receipts available to it at 31 March 2015 (including those forecast for receipt in 2014/15) to fund the 2014/15 and future Capital Programmes. However, capital receipts resulting from the Preserved Right to Buy Agreement with Dales Housing are estimated to have a balance of £1,000,000 at 31st March 2015.
- Grants and Contributions – A total of £347,000 is incorporated in the financing proposals, and delivery of the full Capital Programme relies on this amount being achieved.
- External borrowing – There are no plans currently to borrow externally.
- Revenue Financing – Various revenue funds totalling £650,196 have been used as detailed below.

The overall financing package that is proposed for the 2014/15 Capital Programme is as follows:

	£000	£000
Capital Receipts		1,975
Grants and Contributions:		
Housing – Disabled Facilities Grants	172	
Ashbourne Leisure Centre Changing Rooms	175	
		347
Internal Funds:		
Carsington Fund	15	
Vehicle Renewal Fund	209	
Unapplied Revenue Grants Reserve	296	
Direct Revenue Financing Reserve	130	
		650
		2,972

1.3 Prudential Code

The Government and the Chartered Institute of Public Finance and Accountancy (CIPFA) have issued regulations (under the Local Government Act 2003) for the control of capital expenditure by local authorities. This is set out in the Prudential Code. The aim of the Prudential Code is to ensure:

- That local authorities have capital expenditure plans that are affordable and within prudent and sustainable levels;
- That external borrowing and other long-term liabilities are within prudent and sustainable levels;

- That Treasury Management decisions are taken in accordance with sound professional advice and that in taking the above decisions the council is accountable, providing a clear and transparent framework supported by:
 - Local strategic planning
 - Local assessment management planning
 - Option appraisals

The framework of the Code includes a set of prudential indicators, which are designed to support and record local decision making. These indicators are required to be set, and where necessary revised, through the process established for the setting and revising of the Budget ie full Council. The indicators must be set for the forthcoming and following two financial years before the beginning of the forthcoming year but may be revised at any time thereafter.

In considering its programme for capital investment, the Council is required within the Prudential Code to have regard to:

- Affordability
- Prudence and Sustainability
- Value for money
- Stewardship of Assets
- Service Objectives
- Practicality

The Council's Prudential Indicators for 2013/14, 2014/15 and the following two financial years are set out in Appendix 2.

1.4 Investment – Treasury Management Policy and Strategies

Revised Treasury Management Policy Statement and Investment Strategies are set out in Appendix 3.

1.5 Minimum Revenue Provision (MRP) Strategy

2014/15 Minimum Revenue Provision (MRP) Strategy details are set out in Appendix 4.

2 RISK ASSESSMENT

2.1 Legal

Nothing to add to the report.

2.2 Financial

A risk assessment of the Capital Programme has been undertaken in the formulation of the schemes. The most significant risks are:

- Forecast capital receipts may not be achieved.
- The danger of overspending on capital schemes with no available finance to meet the overspending.
- Budgets for individual projects may be insufficient when tenders are received.
- There is sufficient funding for Capital schemes to which the Council has had a long-term commitment in the current programme. However, the Council's

future ability to finance mandatory Capital expenditure, such as Disabled Facility Grants, will need to be kept under review.

2.3 Corporate

The Council will consider that all proposed schemes are achievable and have sufficient resources including revenue resources, to ensure that the programme is completed and affordable.

3 OTHER CONSIDERATIONS

In preparing this report, the relevance of the following factors has also been considered: prevention of crime and disorder, equalities, environmental, climate change, health, human rights, personnel and property.

4 ATTACHMENTS

Appendix 1 – Capital Programme 2013/14 to 2017/18
Appendix 2 – Prudential Indicators 2014/15, 2015/16 and 2016/17
Appendix 3 – Treasury Management Policy and Strategies
Appendix 4 – Minimum Revenue Provision (MRP) Strategy

5 BACKGROUND PAPERS

Capital Programme File 2014/15 – held in Accountancy Section

6 CONTACT INFORMATION

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SCHEMES LISTED BY CORPORATE PRIORITY	ESTIMATE 2013/14	REV EST 2013/14	ESTIMATE 2014/15	ESTIMATE 2015/16	ESTIMATE 2016/17	ESTIMATE 2017/18	TOTAL 2013/2018
	£	£	£	£			£
<i>Housing which meets local needs</i>							
FUEL POVERTY PROJECT	0	67,088	0	0	0	0	67,088
IMPROVEMENT GRANTS							
Decent Homes	0	25,342	0	0	0	0	25,342
Disabled Facilities Grants	303,000	280,000	280,000	183,700	172,000	172,000	1,087,700
PRIVATE SECTOR HOUSE CONDITIONS SURVEY	45,000	0	45,000	0	0	0	45,000
PROVISION OF TRAVELLER SITE							
Temporary	0	9,774	0	0	0	0	9,774
Permanent	0	tbd	0	0	0	0	0
RETAINED SEWERS							
Small Sewerage Works	0	40,558	0	0	0	0	40,558
SOCIAL HOUSING GRANT							
Wash Green Wirksworth	0	50,000	0	0	0	0	50,000
Bonsall	0	50,000	50,000	0	0	0	100,000
Darley Dale Church Road	362,000	362,000	0	0	0	0	362,000
Darley Dale - Gracelands	14,000	14,000	0	0	0	0	14,000
Darley Dale Primary	0	7,000	0	0	0	0	7,000
Longford	75,000	0	75,000	0	0	0	75,000
Stoney Middleton	80,000	0	80,000	0	0	0	80,000
Youlgreave	160,000	80,000	80,000	0	0	0	160,000
Ashbourne - Alms Houses	0	0	14,000	0	0	0	14,000
Bakewell Lady Manners School	0	0	142,000	100,000	0	0	242,000
Litton	0	0	0	50,000	0	0	50,000
Tideswell Sherwood Road	0	0	90,000	90,000	0	0	180,000
Wirksworth Cromford Road	0	0	165,000	138,000	0	0	303,000
Great Longstone	0	0	0	80,000	0	0	80,000
Taddington	0	0	0	80,000	0	0	80,000
Sub Total - Housing which meets local needs	1,039,000	985,762	1,021,000	721,700	172,000	172,000	3,072,462

SCHEMES LISTED BY CORPORATE PRIORITY	ESTIMATE 2013/14	REV EST 2013/14	ESTIMATE 2014/15	ESTIMATE 2015/16	ESTIMATE 2016/17	ESTIMATE 2017/18	TOTAL 2013/2018
<i>A clean green and prosperous Dales</i>							
ASHBOURNE COMPTON ENVIRONMENTAL IMPROVEMENTS	20,000	0	0	0	0	0	0
BAKEWELL AGRICULTURAL BUSINESS CENTRE							
Noise, Drainage and Other Works	165,929	165,929	0	0	0	0	165,929
Trade Effluent Discharge Improvements	0	52,908	0	0	0	0	52,908
Wash Down Pumps	17,000	17,242	0	0	0	0	17,242
BAKEWELL ROAD MATLOCK REGENERATION	23,350	22,797	0	0	0	0	22,797
CAR PARKS							
Ashbourne - Henmore Centre - Provision of Car Park	20,000	0	0	0	0	0	0
Ashbourne Market Place - Sett Replacement	15,000	2,000	3,000	0	0	0	5,000
Bakewell ABC	5,000	15,000	5,000	5,000	5,000	5,000	35,000
Bakewell ABC Parking Grid Extension	150,000	0	150,000	0	0	0	150,000
Planned Improvements	29,866	39,332	0	0	0	0	39,332
Matlock - Repair / Resurface Roof Co-op Car Park	165,000	50,000	50,000	123,250	0	0	223,250
Village Car Parking	15,000	0	15,000	15,000	15,000	15,000	60,000
INDUSTRIAL DEVELOPMENT							
Repayment of DLG Rowsley	0	0	303,000	0	0	0	303,000
Repayment of DLG Clifton Road Ashbourne	0	0	334,000	0	0	0	334,000
PLANNING CONSERVATION / ENHANCEMENT GRANTS							
Partnership Grants	5,500	7,040	11,000	5,500	5,500	5,500	34,540
RURAL CONVENIENCES							
	0	31,652	0	0	0	0	31,652
TOURISM							
Ashbourne Visitor Centre Roof Repairs	24,000	0	0	0	0	0	0
TOWN CENTRE CONVENIENCES							
	0	17,496	0	0	0	0	17,496
Sub Total - A clean, green and properous Dales	655,645	421,396	871,000	148,750	25,500	25,500	1,492,146
<i>Safe and healthy communities</i>							
ARC LEISURE MATLOCK							
Construction	0	58,653	0	0	0	0	58,653
ASHBOURNE LEISURE CENTRE							
Floor Replacement	8,000	7,768	0	0	0	0	7,768
Roof Access Walkway	13,000	12,000	0	0	0	0	12,000
Changing Rooms	195,000	130,000	272,000	0	0	0	402,000
BAKEWELL SWIMMING POOL							
Heat Exchanger	10,000	9,000	0	0	0	0	9,000
COMMUNITY SAFETY INITIATIVES							
	0	5,984	0	0	0	0	5,984
DISABLED FACILITIES PUBLIC BUILDINGS							
Bakewell Pavilion	20,000	20,000	0	0	0	0	20,000
FLOOD ALLEVIATION							
Birchover	0	1,950	0	0	0	0	1,950
Doveridge Desilting Water Course	24,750	0	0	0	0	0	0
Stoney Middleton - Coomsdale	0	1,500	0	0	0	0	1,500

SCHEMES LISTED BY CORPORATE PRIORITY	ESTIMATE 2013/14	REV EST 2013/14	ESTIMATE 2014/15	ESTIMATE 2015/16	ESTIMATE 2016/17	ESTIMATE 2017/18	TOTAL 2013/2018
PARKS and OPEN SPACES							
Aiming High	0	3,654	0	0	0	0	3,654
Ashbourne - 2 Team Pavilion	10,000	0	0	0	0	0	0
Ashbourne - Memorial Pavilion	22,000	0	22,000	0	0	0	22,000
Ashbourne Park Partnership Funding	22,000	0	27,400	0	0	0	27,400
Ashbourne Wet Play Area	0	tbd	tbd	0	0	0	0
Matlock Hall Leys Park - Paddling Pool	0	11,902	0	0	0	0	11,902
Matlock Dimple Playing Fields - Drainage	0	tbd	tbd	0	0	0	0
Matlock Bath - Jubilee Bridge	180,000	30,000	220,000	0	0	0	250,000
Play Development Fund	15,000	15,000	15,000	15,000	15,000	15,000	75,000
Wirksworth Gorseley Bank Phase 2	25,000	24,094	0	0	0	0	24,094
Wirksworth Fanny Shaw Playing Field Improvements	0	34,000	0	0	0	0	34,000
Dimple Play Park - Matlock	20,000	20,000	0	0	0	0	20,000
Sub Total - Safe and healthy communities	564,750	385,505	556,400	15,000	15,000	15,000	986,905
Other							
BURIALS							
Hartington Burial Ground	25,000	5,000	0	0	0	0	5,000
Matlock Churchyard - Retaining Wall	240,000	175,000	125,000	0	0	0	300,000
Darley Dale Cemetery - Road	0	tbd	tbd	0	0	0	0
Wirksworth Steeple Arch Cemetery - Drainage	0	tbd	tbd	0	0	0	0
CARSINGTON FUND GRANTS	14,770	38,000	15,000	16,044	0	0	69,044
DEPOTS							
Northwood Depot - Re - routing Gully	0	tbd	tbd	0	0	0	0
FIXED WIRE TESTING	5,885	5,885	0	0	0	0	5,885
GRANTS TO VILLAGE HALLS	20,000	11,600	20,000	20,000	20,000	20,000	91,600
IT STRATEGY							
Financial Information System	0	12,325	0	0	0	0	12,325
Server Replacement	0	8,995	0	0	0	0	8,995
Virtual Desktop	0	53,000	0	0	0	0	53,000
PUBLIC OFFICES							
Matlock Town Hall - Boilers	0	77,000	0	0	0	0	77,000
Matlock Town Hall - Refurbishment CAB	10,000	27,950	0	0	0	0	27,950
Matlock Town Hall - Roof	110,000	0	110,000	0	0	0	110,000
VEHICLES	103,000	219,466	225,000	205,000	647,000	226,800	1,523,266
Sub Total - Other	528,655	634,221	495,000	241,044	667,000	246,800	2,284,065
CAPITAL SALARIES	42,901	26,540	28,376	0	0	0	54,916
	42,901	26,540	28,376	0	0	0	54,916
	2,830,951	2,453,424	2,971,776	1,126,494	879,500	459,300	7,890,494

PRUDENTIAL INDICATORS

1. Affordability

The affordability indicators assess whether the level of capital investment is sustainable and how it impacts on the overall level of finances (Council Tax).

1.1 Estimates of ratio of financing costs to net revenue stream –

The Council is required to consider all of the resources available to it together with its capital plans, revenue income and revenue expenditure forecasts for the forthcoming year and the following two years. After the year-end the actual results of the previous year are to be reported. The net revenue stream is comprised of the amount to be met from government grants and local taxpayers whilst finance costs are made up of interest payable in respect of borrowing or leasing, premiums/discounts on repurchase of debt written out to revenue, investment income and revenue provision (ie. MRP).

Actual 2012/13	Estimate 2013/14	Revised Estimate 2013/14	Estimate 2014/15	Estimate 2015/16	Estimate 2016/17
7.29%	5.70%	9.57%	9.83%	10.00%	8.87%

1.2 Incremental impact of capital investment decisions on Council Tax –

This indicator shows the impact of comparing the forecast capital budget based on no changes to the existing budget with the forecast capital budget based on any proposed changes to the budget (ie new schemes post 1.4.14). The forecasts are based on the information available in the budget, but have also required some reasonable assumptions.

	Proposed Budget 2014/15 £	Forward Projection 2015/16 £	Forward Projection 2016/17 £
Effect on Council Tax Band D	1.30	0.55	0.25

2. Prudence

Net borrowing and the capital financing requirement –

This indicator is to ensure that over the medium term net borrowing will only be for a capital purpose and that net external borrowing does not, except in the short term exceed the total financing requirement in the preceding year plus the additional Capital Financing Requirement projected to 31st March 2017.

The Capital Financing Requirement is calculated by bringing together all the capital related balances in the Council's Balance Sheet at the end of the preceding year and is arrived at by consolidating:

Tangible Fixed Assets
 Intangible Assets
 Revaluation Reserve
 Capital Adjustment Account

The resulting figure represents the Council's need to borrow for capital purposes and is, therefore, influenced by the capital expenditure that remains un-funded from non-borrowing sources. In reality the Council does not borrow for particular items or types of expenditure. In day to day cash management no distinction can be made between revenue cash and capital cash. External borrowing arises as a consequence of all the financial transactions of the authority and not those simply arising from capital spending. Where capital expenditure is resourced immediately (from capital receipts, grants etc) the Capital Financing Requirement will reduce at the same time the capital expenditure is incurred. Where it is not resourced immediately there will be a net increase in the Capital Financing Requirement. The Council's daily cash position obviously varies from day to day – the table below gives the position at 31st March.

	Actual 2012/13 £000	Estimate 2013/14 £000	Rev Est 2013/14 £000	Estimate 2014/15 £000	Estimate 2015/16 £000	Estimate 2016/17 £000
Gross Borrowing	5,619	5,619	5,600	5,600	5,600	5,600
Other Long Term Liabilities	2,684	2,527	2,245	1,784	1,298	898
Investments incl. cash	(519)	(1,000)	(2,100)	(1,000)	(0)	(0)
Net Borrowing	7,784	7,146	5,745	6,384	6,898	6,498
Capital Financing Requirement	8,976	8,822	8,450	7,901	7,325	6,834

3. Capital Expenditure

The Council are required to make reasonable estimates for the total capital expenditure it plans to incur during the forthcoming financial year and at least the following two years. It is also required to report the outturn of the capital programme when available.

Actual 2012/13 £000	Estimate 2013/14 £000	Revised Est 2013/14 £000	Estimate 2014/15 £000	Estimate 2015/16 £000	Estimate 2016/17 £000
2,388	2,831	2,453	2,972	1,126	880

4. External Debt

The Council are required under the Prudential Code to approve two limits for its external debt;

- The Authorised Limit for its external debt gross of investments for 2014/15 and the following two years.
- The Operational Boundary for external debt for the same period.

These two limits take into account the Council's current commitments set out in the capital programme. The difference between the limits is to allow for any unusual or

unexpected cash movements. The Authorised limit equates to the maximum of external debt projected by the latest estimates.

The Operational Boundary is based on the most likely, prudent, but not worst case scenario. This indicator may be breached temporarily on occasions due to variations in cash flow. However, regular breaches should lead to further investigations and appropriate action. The Authorised Limit should not be breached and any amendment necessary should be approved by full Council during the year. Monitoring of the external debt shown on the Balance Sheet, along with other Prudential indicators, is undertaken.

Authorised Limit for External Debt

	Estimate 2013/14 £000	Rev Est 2013/14 £000	Estimate 2014/15 £000	Estimate 2015/16 £000	Estimate 2016/17 £000
Borrowing	12,000	12,000	12,000	12,000	11,000
Other Long Term Liabilities (eg Finance leases)	3,000	3,000	3,000	2,000	1,000
	15,000	15,000	15,000	14,000	12,000

Operational Boundary for External Debt

	Estimate 2013/14 £000	Rev Est 2013/14 £000	Estimate 2014/15 £000	Estimate 2015/16 £000	Estimate 2016/17 £000
Borrowing	11,000	11,000	11,000	11,000	10,000
Other Long Term Liabilities (eg Finance leases)	3,000	3,000	3,000	2,000	1,000
	14,000	14,000	14,000	13,000	11,000

Derbyshire Dales total external debt at 31st March 2013 was £5,619,000. The estimated total external debt at 31st March 2014 is estimated to be £5,600,000.

The Council now has borrowings from the Public Works Loan Board and the Ernest Bailey Charity. The actual external debt is not directly comparable to the Authorised Limit and Operational Boundary since the external debt affects the position at one point in time only.

5. Treasury Management

Derbyshire Dales District Council has adopted the CIPFA Code of Practice for Treasury Management in the Public Services.

INVESTMENT & TREASURY MANAGEMENT STRATEGIES

1. Present Position

The Council is required by statute to produce an Annual Investment Strategy, approved by the full Council, prior to 1st April each year that sets out the Council's policies for managing its investments and for giving priority to the security and liquidity of those investments. The Council is given power to make investments under Section 12 of the Local Government Act 2003, which allows a local authority to invest for "any purpose relevant to its functions under any enactment or for the prudent management of its financial affairs". The Strategy is also a requirement of the CIPFA Code of Practice for Treasury Management in the Public Sector. The Strategy may be varied at any time during the year, again with the approval of the full Council.

Derbyshire Dales District Council is currently a lender of funds in the money market. The investments at today's date, 5th February 2014, total £10,020,000 and are lent to a variety of building societies plus a Special Interest Bearing Account and a 30 Day Notice Account both held at Nat West as approved by the Council's Treasury Management Policy Statement. The individual amounts invested in Building Societies varies but currently stands at £4,500,000 and the periods are all "fixed", the last one being 21st March 2014. The balance on the Special Interest Bearing Account on 5th February 2014 was £4,520,000. The balance on the 30 Day Notice Account on 5th February 2014 was £1,000,000. The average rate of interest being earned from Building Societies was 0.48%. During 2013/14 interest rates have declined with 3 month investments being less than 0.5%. The Special Interest Bearing Account pays Base Rate and currently stands at 0.5%. However, if the balance on the account falls below £500,000 the interest rate is just 0.25%. Funds can be moved daily to and from the account. The 30 Day Notice Account pays 10 base points over Base Rate this currently being 0.6%.

In addition to these investments the Council has money borrowed from The Public Works Loan Board and the Ernest Bailey Charity totalling £5,600,000. Currently the Council is not actively seeking external borrowings. However, it will be necessary at times in 2014/15 to consider further short term borrowings or reduce its investments. The borrowing from The Public Works Loan Board was part of the Council's debt restructuring exercise (approved in November 2006). Derbyshire Dales District Council is trustee of Ernest Bailey Charity.

The table below sets out the Council's net lending at 5th February 2014.

	£	£
Fixed Rate Debt	5,600,000	
Total Debt		5,600,000
Less		
Fixed Rate Investments	4,500,000	
30 Day Notice Account	1,000,000	
Special Interest Bearing Account	4,520,000	
		10,020,000
Net Lending		4,420,000

It is now estimated that by 31st March 2014 net borrowing will be approx £3,500,000.

Prospects for Interest Rates

The current level of Bank Base Rates is 0.5% and was reduced to this level in March 2009, this is the culmination of a series of interest rate cuts which began in October 2008. It is generally accepted that Bank Base Rates will remain at current levels during most of the next financial year, although it is possible that they may begin to rise albeit slowly towards the end of the year.

Investment Strategy 2014/15

The Council will begin the next financial year with investments of approximately £2,100,000. This surplus will increase during the first three quarters of the year with the collection of Council Tax and Non Domestic rates. Due to the current conditions existing in the Money Markets it is recommended that the Council use these funds and lend on a short term basis, only borrowing on a short term basis when necessary. This strategy will reduce the level of investments outstanding at 31st March 2015 to approximately £1,000,000. In order, therefore, to operate the Treasury Management policy effectively it will be necessary to continue the present policy of investing funds in a range of investments from SIBA and 30 Day Notice funds to “call” loans and “Fixed Rate” deals of less than one year. The types of borrower the Council can lend funds to are set out in its Treasury Management Policy Statement. The overriding objective is the preservation of the capital value of the investments whilst ensuring the availability of funds to meet the demands of the capital programme. However, this is closely followed by the need to maximise returns.

Depending on the economic climate in 2014/15 and 2015/16 it may be necessary to borrow short term in lieu of future capital receipts.

The Government’s requirement for an Investment Strategy was issued by the Secretary of State under Section 15(i)(a) of the Local Government Act 2003. The Act splits investments into two categories – Specified Investments and Non-Specified Investments.

Specified Investments

These are investments denominated in sterling that are invested for less than one year and are made with a body that has been awarded a high credit rating by a credit agency or is made with the UK Government, a local authority or a parish council.

Non-Specified Investments

These are all other investments not classified as Specified Investments.

The Council’s Treasury Management Policy Statement sets out individual institutions to whom the Council can lend funds to. The categories are summarised as follows:

Institution	Limit £000
National Westminster Bank plc	6,000
Major British Banks and wholly owned subsidiaries	3,000

Building Societies with Assets in Excess of £0.75 Billion	3,000
Local Authorities, etc	3,000

Although there has been a reduction in the credit ratings of many financial institutions it is considered that the above list is still prudent and secure but with the following amendments:

- a) The Cooperative Bank PLC be removed from the list
- b) Ulster Bank be removed from the list
- c) Newbury Building Society be added to the list as its assets now exceed £750 million

This revised list still gives the Council a significant degree of flexibility in its investment policy in a market that continues to provide challenging conditions.

The Council has obtained credit ratings for all the banks and most of the building societies in its Treasury Management Policy Statement and they are of a sufficiently high credit rating to be classified as Specified Investments.

There is no requirement for building societies to obtain credit ratings. Most of those on the Council's approved list have ratings produced either by Fitch IBA or by Moody's. There are societies on the Council's list that do not have a credit rating and those will be classified as Non-Specified Investments. However, these societies have assets in excess of £0.75 billion and are considered low risk

It is deemed that the above credit ratings are sufficient for the security of the Council's funds. The ratings will be monitored regularly and any changes necessary reported as part of the Treasury Management's Policy Statement.

The Council's investments currently fall within the above criteria.

APPENDIX 4

MINIMUM REVENUE PROVISION STRATEGY

The Secretary of State has been given powers by Section 238(2) of the Local Government and Public Involvement in Health Act 2007 to issue statutory (MRP) guidance.

Under paragraph 3 of the guidance, Authorities are asked to prepare an annual statement of their policy on making Minimum Revenue Provision (MRP) for submission to their full Council. This has to be reported prior to 1st April for the following financial year.

The Council is required to make prudent provision and to ensure that debt is repaid over a period that is reasonably commensurate with that over which the capital expenditure provides benefits. The provision is required in the first financial year after the asset becomes operational.

It is not expected that the 2014/15 capital programme will require any external borrowing, therefore there will be no additional MRP.

If any further capital transactions resulting in additional MRP calculations occur during 2014/15 the regulations allow the MRP strategy to be revised by full Council.

COUNCIL
6 MARCH 2014

Report of the Chief Executive

COUNCIL TAX SETTING 2014/2015

SUMMARY

The purpose of this Report is to enable the Council to calculate and set the Council Tax for 2014/15.

RECOMMENDATION

That the Council approves the formal Council Tax resolution set out in Appendices A to C of the report.

BACKGROUND

1.1 The Localism Act 2011 made significant changes to the Local Government Finance Act 1992, and now requires the billing authority to calculate a Council Tax requirement for the year, not its budget requirement as previously.

The precept levels of other precepting bodies have now been received. These are detailed below:

1.2 Town and Parish Councils

The Town and Parish Council Precepts for 2014/15 are detailed in Appendix C and total £1,270,187. The increase in the average Band D Council Tax for Town and Parish Councils is 2.84% and results in an average Band D Council Tax figure of £45.58 for 2014/15.

1.3 Derbyshire County Council

Derbyshire County Council met on 5th February 2014 and set their precept at £30,614,895, adjusted by a Collection Fund deficit of £37,257. This results in a Band D Council Tax of £1,098.71.

1.4 Office of Police and Crime Commissioner for Derbyshire

Office of Police and Crime Commissioner for Derbyshire met on 17th February 2014 and set their precept at £4,743,080, adjusted by a Collection Fund deficit of £5,774. This results in a Band D Council Tax of £170.22.

1.5 Derbyshire Fire and Rescue Authority

Derbyshire Fire and Rescue Authority met on 13th February 2014 and set their precept at £1,907,214, adjusted by a Collection Fund deficit of £2,323. This results in a Band D Council Tax of £68.45.

1.6 The Head of Resources under powers delegated by Council on 29th January 2004, has determined the Council Tax Base for 2014/15 for the whole of the District and for each precepting Town/Parish Council area.

1.7 Conclusions

The recommendations of the Council are set out in the formal Council Tax Resolution in Appendices A - C.

If the formal Council Tax Resolution at Appendices A - C is approved, the total Band D Council Tax will be as follows:

	2013/14 £	2014/15 £	Increase %
Derbyshire Dales District Council	189.66	189.66	-
Derbyshire County Council	1,077.22	1098.71	1.99
Office of Police and Crime Commissioner for Derbyshire	166.95	170.22	1.96
Derbyshire Fire and Rescue Authority	67.17	68.45	1.91
Sub-Total	1,501.00	1527.04	1.73
Town and Parish Council (average)	44.32	45.58	2.84
Total	1,545.32	1572.62	1.77

RISK ASSESSMENT

Legal

The Council's duty to set the level of Council Tax is embodied within the Local Government Finance Act 1992 as amended by the Localism Act 2011.

Financial

The financial details are set out in the body of the report and Appendices A & C.

Corporate Risk

Failure to set the Council Tax for 2014/2015 would result in the Council having reduced income within which to operate.

OTHER CONSIDERATIONS

In preparing this report, the relevance of the following factors has also been considered: prevention of crime and disorder, equalities, environmental, climate change, health, human rights, personnel and property.

CONTACT INFORMATION

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BACKGROUND PAPERS

Precepts from Derbyshire County Council, Office of Police and Crime Commissioner for Derbyshire, Derbyshire Fire and Rescue Authority and Town/Parish Councils.

Print Out from Computer Spreadsheets

(Held on files in Accountancy Section)

APPENDIX A

The Council is recommended to resolve as follows:

1. It be noted that on 1st December 2013 the Head of Resources under delegated powers calculated the Council Tax Base 2014/15:
 - a) for the whole Council area as 27864.41 [Item T in the formula in Section 31B of the Local Government Finance Act 1992, as amended (the "Act")]; and
 - b) for dwellings in those parts of its area to which a Parish precept relates as in the attached Appendix C.
2. Calculate that the Council Tax requirement for the Council's own purposes for 2014/15 (excluding Parish precepts) is £5,284,764.
3. That the following amounts be calculated for the year 2014/15 in accordance with Sections 31 to 36 of the Act:
 - (a) £40,567,236 Being the aggregate of the amounts which the Council estimates for the items set out in Section 31A(2) of the Act taking into account all precepts issued to it by Parish Councils.
 - (b) £34,012,285 Being the aggregate of the amounts which the Council estimates for the items set out in Section 31A(3) of the Act.
 - (c) £6,554,951 Being the amount by which the aggregate at 3(a) above exceeds the aggregate at 3(b) above, calculated by the Council in accordance with Section 31A(4) of the Act as its Council Tax requirements for the year. (Item R in the formula in Section 31B of the Act).
 - (d) £235.24 Being the amount at 3(c) above (Item R), all divided by Item T (1(a) above), calculated by the Council, in accordance with Section 31B of the Act, as the basic amount of its Council Tax for the year (including Parish precepts).
 - (e) £1,270,187 Being the aggregate amount of all special items (Parish precepts) referred to in Section 34(1) of the Act (as per the attached Appendix C)
 - (f) £189.66 Being the amount at 3(d) above less the result given by dividing the amount at 3(e) above by Item T (1(a) above), calculated by the Council, in accordance with Section 34(2) of the Act, as the basic amount of its Council Tax for the year for dwellings in those parts of its area to which no Parish precept relates.
4. To note that the County Council, the Office of Police and Crime Commissioner for Derbyshire and the Derbyshire Fire and Rescue Authority precepts to the Council in accordance with Section 40 of the Local Government Finance Act 1992 for each category of dwellings in the Council's area as indicated in the table below after 5.
5. That the Council, in accordance with Sections 30 and 36 of the Local Government Finance Act 1992, hereby sets the aggregate amounts shown in the tables below as the amounts of Council Tax for 2014/15 for each part of its area and for each of the categories of dwellings.

APPENDIX A (contd...)

Valuation Bands

DERBYSHIRE DALES DISTRICT COUNCIL

A	B	C	D	E	F	G	H
126.44	147.51	168.59	189.66	231.81	273.95	316.10	379.32

DERBYSHIRE COUNTY COUNCIL

A	B	C	D	E	F	G	H
732.47	854.55	976.63	1098.71	1342.87	1587.03	1831.18	2197.42

OFFICE OF POLICE AND CRIME COMMISSIONER FOR DERBYSHIRE

A	B	C	D	E	F	G	H
113.48	132.39	151.31	170.22	208.05	245.87	283.70	340.44

DERBYSHIRE FIRE AUTHORITY

A	B	C	D	E	F	G	H
45.63	53.24	60.84	68.45	83.66	98.87	114.08	136.90

AGGREGATE OF COUNCIL TAX REQUIREMENTS

A	B	C	D	E	F	G	H
1018.02	1187.69	1357.37	1527.04	1866.39	2205.72	2545.06	3054.08

6. That the Council's basic amount of Council Tax for 2014/15 is not excessive in accordance with principles approved under Section 52ZB Local Government Finance Act 1992.

APPENDIX B

Council Tax Schedule 2014/15	Band A £	Band B £	Band C £	Band D £	Band E £	Band F £	Band G £	Band H £
Derbyshire Dales District Council	126.44	147.51	168.59	189.66	231.81	273.95	316.10	379.32
Derbyshire County Council	732.47	854.55	976.63	1,098.71	1,342.87	1,587.03	1,831.18	2,197.42
Police and Crime Commissioner for Derbyshire	113.48	132.39	151.31	170.22	208.05	245.87	283.70	340.44
Derbyshire Fire And Rescue Authority	45.63	53.24	60.84	68.45	83.66	98.87	114.08	136.90

Council Tax Schedule 2014/15	Band A £	Band B £	Band C £	Band D £	Band E £	Band F £	Band G £	Band H £
Parish / Town only	30.39	35.45	40.52	45.58	55.71	65.84	75.97	91.16
Parish / Town & District	156.83	182.96	209.11	235.24	287.52	339.79	392.07	470.48
Total	1,048.41	1,223.14	1,397.89	1,572.62	1,922.10	2,271.56	2,621.03	3,145.24
Parish								
Ashbourne	1,063.95	1,241.27	1,418.60	1,595.93	1,950.59	2,305.23	2,659.88	3,191.86
Bakewell	1,061.62	1,238.56	1,415.50	1,592.44	1,946.32	2,300.19	2,654.06	3,184.88
Darley Dale	1,043.49	1,217.41	1,391.33	1,565.25	1,913.09	2,260.92	2,608.74	3,130.50
Matlock	1,070.25	1,248.63	1,427.01	1,605.39	1,962.15	2,318.90	2,675.64	3,210.78
Tideswell	1,060.00	1,236.67	1,413.34	1,590.01	1,943.35	2,296.68	2,650.01	3,180.02
Wirksworth	1,061.25	1,238.12	1,415.00	1,591.88	1,945.64	2,299.38	2,653.13	3,183.76
Ashford-in-the-Water	1,056.70	1,232.82	1,408.94	1,585.06	1,937.30	2,289.53	2,641.76	3,170.12
Ballidon & Bradbourne	1,039.32	1,212.54	1,385.77	1,558.99	1,905.44	2,251.87	2,598.31	3,117.98
Baslow & Bubnell	1,032.76	1,204.89	1,377.02	1,549.15	1,893.41	2,237.66	2,581.91	3,098.30
Beeley	1,053.97	1,229.63	1,405.30	1,580.96	1,932.29	2,283.61	2,634.93	3,161.92
Birchover	1,040.60	1,214.04	1,387.47	1,560.91	1,907.78	2,254.65	2,601.51	3,121.82
Bonsall	1,040.52	1,213.94	1,387.37	1,560.79	1,907.64	2,254.47	2,601.31	3,121.58
Boylestone	1,022.95	1,193.45	1,363.94	1,534.44	1,875.43	2,216.41	2,557.39	3,068.88
Bradley	1,023.75	1,194.38	1,365.01	1,535.64	1,876.90	2,218.15	2,559.39	3,071.28
Bradwell	1,064.47	1,241.89	1,419.30	1,596.72	1,951.55	2,306.37	2,661.19	3,193.44
Brailsford	1,026.31	1,197.37	1,368.42	1,539.48	1,881.59	2,223.69	2,565.79	3,078.96
Brassington	1,041.94	1,215.60	1,389.26	1,562.92	1,910.24	2,257.55	2,604.86	3,125.84
Calver	1,032.55	1,204.65	1,376.74	1,548.84	1,893.03	2,237.21	2,581.39	3,097.68
Carsington & Hopton	1,042.75	1,216.54	1,390.34	1,564.13	1,911.72	2,259.30	2,606.88	3,128.26
Chelmorton	1,044.20	1,218.24	1,392.27	1,566.31	1,914.38	2,262.45	2,610.51	3,132.62
Clifton	1,031.05	1,202.90	1,374.74	1,546.59	1,890.28	2,233.96	2,577.64	3,093.18
Cromford	1,038.37	1,211.44	1,384.50	1,557.57	1,903.70	2,249.82	2,595.94	3,115.14
Cubley	1,024.45	1,195.20	1,365.94	1,536.69	1,878.18	2,219.66	2,561.14	3,073.38
Curbar	1,034.70	1,207.15	1,379.61	1,552.06	1,896.97	2,241.86	2,586.76	3,104.12
Doveridge	1,029.61	1,201.21	1,372.82	1,544.42	1,887.63	2,230.83	2,574.03	3,088.84
Eaton, Alsop & Newton								
Grange	1,023.81	1,194.45	1,365.09	1,535.73	1,877.01	2,218.28	2,559.54	3,071.46
Edlaston & Wyaston	1,023.83	1,194.47	1,365.11	1,535.75	1,877.03	2,218.30	2,559.58	3,071.50
Elton	1,049.00	1,223.84	1,398.67	1,573.51	1,923.18	2,272.85	2,622.51	3,147.02
Eyam	1,051.84	1,227.15	1,402.46	1,577.77	1,928.39	2,279.00	2,629.61	3,155.54
Fenny Bentley	1,029.81	1,201.44	1,373.08	1,544.72	1,888.00	2,231.26	2,574.53	3,089.44
Flagg	1,030.99	1,202.82	1,374.66	1,546.49	1,890.16	2,233.82	2,577.48	3,092.98
Foolow	1,035.74	1,208.37	1,380.99	1,553.62	1,898.87	2,244.12	2,589.36	3,107.24
Froggatt	1,022.77	1,193.24	1,363.70	1,534.17	1,875.10	2,216.02	2,556.94	3,068.34
Great Longstone	1,049.29	1,224.18	1,399.06	1,573.95	1,923.72	2,273.48	2,623.24	3,147.90
Grindleford	1,027.97	1,199.30	1,370.63	1,541.96	1,884.62	2,227.27	2,569.93	3,083.92
Hartington, Middle Quarter	1,026.38	1,197.45	1,368.51	1,539.58	1,881.71	2,223.84	2,565.96	3,079.16
Hartington, Nether Quarter	1,039.91	1,213.23	1,386.55	1,559.87	1,906.51	2,253.14	2,599.78	3,119.74
Hartington, Town Quarter	1,052.44	1,227.85	1,403.26	1,578.67	1,929.49	2,280.30	2,631.11	3,157.34
Hassop	1,025.88	1,196.86	1,367.85	1,538.83	1,880.80	2,222.75	2,564.71	3,077.66

Council Tax Schedule 2014/15	Band A £	Band B £	Band C £	Band D £	Band E £	Band F £	Band G £	Band H £
Hathersage	1,052.51	1,227.93	1,403.35	1,578.77	1,929.61	2,280.44	2,631.28	3,157.54
Hognaston	1,040.41	1,213.81	1,387.22	1,560.62	1,907.43	2,254.23	2,601.03	3,121.24
Hollington	1,028.48	1,199.90	1,371.31	1,542.73	1,885.56	2,228.39	2,571.21	3,085.46
Hucklow, Gt. & Lt, & Grindlow	1,030.27	1,201.98	1,373.70	1,545.41	1,888.84	2,232.26	2,575.68	3,090.82
Hulland Ward	1,036.68	1,209.46	1,382.25	1,555.03	1,900.60	2,246.15	2,591.71	3,110.06
Kirk Ireton	1,044.96	1,219.12	1,393.29	1,567.45	1,915.78	2,264.09	2,612.41	3,134.90
Kniveton	1,035.32	1,207.88	1,380.43	1,552.99	1,898.10	2,243.21	2,588.31	3,105.98
Litton	1,034.35	1,206.74	1,379.14	1,551.53	1,896.32	2,241.10	2,585.88	3,103.06
Longford	1,032.39	1,204.46	1,376.53	1,548.60	1,892.74	2,236.87	2,580.99	3,097.20
Mappleton	1,033.69	1,205.97	1,378.26	1,550.54	1,895.11	2,239.67	2,584.23	3,101.08
Marston Montgomery	1,030.09	1,201.78	1,373.46	1,545.15	1,888.52	2,231.88	2,575.24	3,090.30
Matlock Bath	1,071.26	1,249.81	1,428.35	1,606.90	1,963.99	2,321.08	2,678.16	3,213.80
Middleton & Smerrill Middleton by Wirksworth	1,045.90	1,220.22	1,394.54	1,568.86	1,917.50	2,266.13	2,614.76	3,137.72
Monyash	1,043.55	1,217.47	1,391.40	1,565.33	1,913.19	2,261.03	2,608.88	3,130.66
Norbury & Roston	1,036.93	1,209.76	1,382.58	1,555.41	1,901.06	2,246.70	2,592.34	3,110.82
Northwood and Tinkersley	1,024.19	1,194.89	1,365.59	1,536.29	1,877.69	2,219.08	2,560.48	3,072.58
Offcote & Underwood	1,025.03	1,195.87	1,366.71	1,537.55	1,879.23	2,220.90	2,562.58	3,075.10
Osmaston & Yeldersley	1,019.47	1,189.39	1,359.30	1,529.22	1,869.05	2,208.87	2,548.69	3,058.44
Outseats	1,027.69	1,198.97	1,370.26	1,541.54	1,884.11	2,226.67	2,569.23	3,083.08
Over Haddon	1,059.07	1,235.59	1,412.10	1,588.62	1,941.65	2,294.67	2,647.69	3,177.24
Parwich	1,039.19	1,212.39	1,385.59	1,558.79	1,905.19	2,251.58	2,597.98	3,117.58
Pilsley	1,047.60	1,222.20	1,396.81	1,571.41	1,920.62	2,269.81	2,619.01	3,142.82
Rodsley & Yeaveley	1,025.15	1,196.02	1,366.88	1,537.74	1,879.46	2,221.18	2,562.89	3,075.48
Rowsley	1,029.66	1,201.27	1,372.89	1,544.50	1,887.73	2,230.94	2,574.16	3,089.00
Sheldon	1,041.71	1,215.33	1,388.95	1,562.57	1,909.81	2,257.04	2,604.28	3,125.14
Shirley	1,038.95	1,212.12	1,385.28	1,558.44	1,904.76	2,251.08	2,597.39	3,116.88
Snelston	1,054.14	1,229.83	1,405.53	1,581.22	1,932.61	2,283.98	2,635.36	3,162.44
South Darley	1,020.15	1,190.18	1,360.21	1,530.24	1,870.30	2,210.35	2,550.39	3,060.48
Stanton-in-the-Peak	1,029.03	1,200.53	1,372.04	1,543.55	1,886.57	2,229.57	2,572.58	3,087.10
Stoney Middleton	1,044.07	1,218.08	1,392.10	1,566.11	1,914.14	2,262.16	2,610.18	3,132.22
Sudbury	1,052.45	1,227.87	1,403.28	1,578.69	1,929.51	2,280.33	2,631.14	3,157.38
Taddington	1,047.83	1,222.48	1,397.12	1,571.76	1,921.04	2,270.32	2,619.59	3,143.52
Tansley	1,035.80	1,208.44	1,381.07	1,553.71	1,898.98	2,244.25	2,589.51	3,107.42
Thorpe	1,036.59	1,209.35	1,382.12	1,554.89	1,900.43	2,245.95	2,591.48	3,109.78
Tissington and Lea Hall	1,044.87	1,219.02	1,393.17	1,567.32	1,915.62	2,263.91	2,612.19	3,134.64
Winster	1,041.95	1,215.61	1,389.27	1,562.93	1,910.25	2,257.56	2,604.88	3,125.86
Youlgreave	1,050.15	1,225.17	1,400.20	1,575.23	1,925.29	2,275.33	2,625.38	3,150.46
All Other Parts of the Council's Area	1,045.30	1,219.52	1,393.74	1,567.96	1,916.40	2,264.83	2,613.26	3,135.92
	1,018.02	1,187.69	1,357.37	1,527.04	1,866.39	2,205.72	2,545.06	3,054.08

**APPENDIX
C**

TOWN AND PARISH PRECEPTS

PARISH	2013/14			2014/15			COUNCIL TAX BAND D INCREASE %
	COUNCIL TAX BASE	PARISH PRECEPT	BAND D COUNCIL TAX	COUNCIL TAX BASE	PARISH PRECEPT	BAND D COUNCIL TAX	
	£	£	£	£	£	£	
Ashbourne	2,731.83	191,139	69.97	2,774.91	191,166	68.89	-1.5
Bakewell	1,583.88	105,000	66.29	1,605.39	105,000	65.40	-1.3
Darley Dale	1,983.24	72,100	36.35	2,041.13	78,000	38.21	5.1
Matlock	3,243.94	240,335	74.09	3,258.12	255,263	78.35	5.7
Tideswell	679.19	42,766	62.97	677.21	42,644	62.97	0.0
Wirksworth	1,712.72	108,423	63.30	1,727.28	112,000	64.84	2.4
Ashford-in-the-Water	224.29	9,537	42.52	227.01	13,170	58.02	36.5
Ballidon & Bradbourne	83.98	2,684	31.96	86.53	2,765	31.95	0.0
Baslow & Bubnell	635.75	14,166	22.28	639.98	14,150	22.11	-0.8
Beeley	77.43	4,024	51.97	75.98	4,097	53.92	3.8
Birchover	123.80	4,000	32.31	124.01	4,200	33.87	4.8
Bonsall	325.72	10,934	33.57	324.00	10,934	33.75	0.5
Boylestone	91.43	675	7.38	91.20	675	7.40	0.3
Bradley	143.17	1,250	8.73	145.43	1,250	8.60	-1.5
Bradwell	559.49	36,266	64.82	567.29	39,526	69.68	7.5
Brailsford	463.48	6,000	12.95	466.16	5,800	12.44	-3.9
Brassington	252.73	9,068	35.88	252.07	9,044	35.88	0.0
Calver	343.99	7,500	21.80	339.18	7,394	21.80	0.0
Carsington & Hopton	125.38	4,750	37.88	128.06	4,750	37.09	-2.1
Chelmorton	135.42	5,435	40.13	138.39	5,435	39.27	-2.1
Clifton	203.13	3,675	18.09	204.57	4,000	19.55	8.1
Cromford	525.35	14,229	27.08	524.10	16,000	30.53	12.7
Cubley	91.28	900	9.86	93.28	900	9.65	-2.1
Curbar	229.42	5,600	24.41	231.85	5,800	25.02	2.5
Doveridge	582.55	10,126	17.38	585.47	10,175	17.38	0.0
Eaton, Alsop & Newton Grange	57.44	500	8.70	57.53	500	8.69	-0.1
Edlaston & Wyaston	86.06	750	8.71	83.77	730	8.71	0.0
Elton	153.51	7,149	46.57	153.83	7,149	46.47	-0.2
Eyam	417.43	21,622	51.80	413.94	21,000	50.73	-2.1
Fenny Bentley	70.88	1,151	16.24	72.87	1,288	17.68	8.9
Flagg	76.31	1,500	19.66	77.11	1,500	19.45	-1.1
Foolow	81.17	2,000	24.64	82.77	2,200	26.58	7.9
Froggatt	129.10	990	7.67	128.98	920	7.13	-7.0
Great Longstone	354.95	16,166	45.54	345.98	16,230	46.91	3.0
Grindleford	405.71	6,053	14.92	420.88	6,280	14.92	0.0
Hartington, Middle Quarter	141.97	1,900	13.38	143.57	1,800	12.54	-6.3
Hartington, Nether Quarter	161.75	4,658	28.80	167.52	5,500	32.83	14.0
Hartington, Town Quarter	160.52	9,651	60.12	159.57	8,238	51.63	-14.1
Hassop	43.57	500	11.48	42.41	500	11.79	2.7
Hathersage	565.19	26,000	46.00	564.42	29,200	51.73	12.5
Hognaston	123.12	4,079	33.13	125.15	4,202	33.58	1.4
Hollington	103.35	1,600	15.48	101.97	1,600	15.69	1.4
Hucklow, Gt & Lt, & Grindlow	134.40	2,500	18.60	136.10	2,500	18.37	-1.2

PARISH	COUNCIL TAX BASE	PARISH PRECEPT	BAND D COUNCIL TAX	COUNCIL TAX BASE	PARISH PRECEPT	BAND D COUNCIL TAX	COUNCIL TAX BAND D INCREASE
	£	£	£	£	£	£	%
Hulland Ward	385.81	10,800	27.99	394.40	11,039	27.99	0.0
Kirk Ireton	224.66	8,000	35.61	222.71	9,000	40.41	13.5
Kniveton	163.01	4,230	25.95	160.63	4,168	25.95	0.0
Litton	298.50	7,310	24.49	294.23	7,206	24.49	0.0
Longford	155.94	3,400	21.80	157.71	3,400	21.56	-1.1
Mapleton	57.37	1,250	21.79	56.82	1,335	23.50	7.8
Marston Montgomery	165.67	3,000	18.11	161.09	2,917	18.11	0.0
Matlock Bath	291.72	23,626	80.99	300.12	23,969	79.86	-1.4
Middleton & Smerrill	59.32	2,250	37.93	64.56	2,700	41.82	10.3
Middleton by Wirksworth	250.72	9,600	38.29	248.46	9,514	38.29	0.0
Monyash	139.37	4,000	28.70	141.01	4,000	28.37	-1.1
Norbury & Roston	134.52	1,200	8.92	129.67	1,200	9.25	3.7
Northwood & Tinkersley	236.94	2,500	10.55	237.80	2,500	10.51	-0.4
Offcote & Underwood	226.76	1,750	7.72	229.84	500	2.18	-71.8
Osmaston & Yeldersley	148.82	2,310	15.52	149.00	2,161	14.50	-6.6
Outseats	256.17	15,138	59.09	248.35	15,294	61.58	4.2
Over Haddon	119.61	3,500	29.26	118.12	3,750	31.75	8.5
Parwich	208.82	6,189	29.64	208.88	9,267	44.37	49.7
Pilsley	56.05	600	10.70	58.05	621	10.70	0.0
Rodsley & Yeaueley	176.78	3,087	17.46	171.48	2,994	17.46	0.0
Rowsley	174.20	6,300	36.17	177.31	6,300	35.53	-1.8
Sheldon	38.25	1,250	32.68	39.81	1,250	31.40	-3.9
Shirley	128.76	5,200	40.39	127.36	6,900	54.18	34.1
Snelston	95.52	250	2.62	93.76	300	3.20	22.1
South Darley	299.33	5,000	16.70	302.91	5,000	16.51	-1.1
Stanton-in-the-Peak	162.20	6,300	38.84	161.26	6,300	39.07	0.6
Stoney Middleton	193.06	9,828	50.91	195.98	10,123	51.65	1.5
Sudbury	155.62	6,960	44.72	151.76	6,787	44.72	0.0
Taddington & Priestcliffe	195.78	5,095	26.02	196.99	5,253	26.67	2.5
Tansley	483.91	13,707	28.33	492.16	13,707	27.85	-1.7
Thorpe	83.11	3,400	40.91	84.41	3,400	40.28	-1.5
Tissington and Lea Hall	67.16	2,256	33.59	63.87	2,292	35.89	6.8
Wardlow	55.67	200	3.59	55.86	0	0.00	N/A
Winster	253.47	12,042	47.51	249.88	12,042	48.19	1.4
Youlgreave	428.98	15,595	36.35	428.19	17,523	40.92	12.6
All Other Parts of the Council's Area	684.58			683.00			
Total	27,675.18	1,226,474	2,415.25	27,864.41	1,270,187	2,490.71	

COUNCIL
6 MARCH 2014

Report of the Chief Executive

CORPORATE PLAN 2014/15

SUMMARY

The Corporate Plan sets out the vision, values and aims of the District Council for the coming years, and lists the key improvement priorities as adopted in November 2013. This is the key strategy from which the District Council's Budget and Performance Plan cascades. The Plan sets out specific new action targets to maintain and (where possible) improve priority services in the coming year, based on the District Council's three corporate priorities (and underpinning priority to make savings) and budgetary position for 2014/15. The report also gives an update on progress against targets in last year's Corporate Plan.

RECOMMENDATIONS

1. That progress with the Corporate Plan 2013/14 be noted.
2. That the Corporate Plan targets for 2014/15 be adopted.

WARDS AFFECTED

All

STRATEGIC LINK

Every plan, strategy and policy of the District Council flows from the Corporate Plan. The Corporate Plan is put into effect by the Performance Plan and Budget, which in turn is supplemented by service plans, policies and strategies. Through the Personal Development Scheme (PDS), employees' activities and appraisals are linked to the Corporate Plan. The Corporate Plan flows from the Community Strategy, which highlights national and local priorities and how they affect the Derbyshire Dales. We describe this linking of common priorities from the Community Strategy, through our Corporate and Performance Plan, and ultimately to service plans and individual employees' Personal Development Plans, as our 'golden thread'. This means that everything the District Council does can be related to the community's priorities.

1 CORPORATE PLAN 2011-2015

- 1.1 The Corporate Plan adopted in October 2011 has a number of important features. It:
- allows for annual review within an overall four-year framework;
 - reflects the vision set out in the Community Strategy;
 - states the overall aims and values of the District Council;
 - prioritises key areas of activity, assisting the allocation of limited resources;
 - includes measurable targets and deadlines, allowing progress to be monitored;
 - has specific actions which cascade to Service Plans and Personal Development plans.

1.2 The **vision** of the Derbyshire Dales & High Peak Community Strategy for 2009 to 2014, reflected in the Corporate Plan (note a new community priorities statement is to be produced later this year), is as follows:

- ◆ *The Peak District will be a distinctive, high quality rural environment with...*
- ... *people of all ages who are healthy and safe;*
- ... *high-wage, high-skill jobs;*
- ... *affordable, decent homes for local people;*
- ... *towns and villages that offer a high quality of life.*

1.3 The Corporate Plan lists the seven core **values** of the District Council. These are the key principles which underpin everything the District Council does while going about its business. They reflect: our rural nature; commitment to fair treatment for all; putting the customer first; high standards; efficiency; being a good employer; and the value we place on partnership working. Although there are other values the District Council portrays, these remain the core principles which support all our activities:

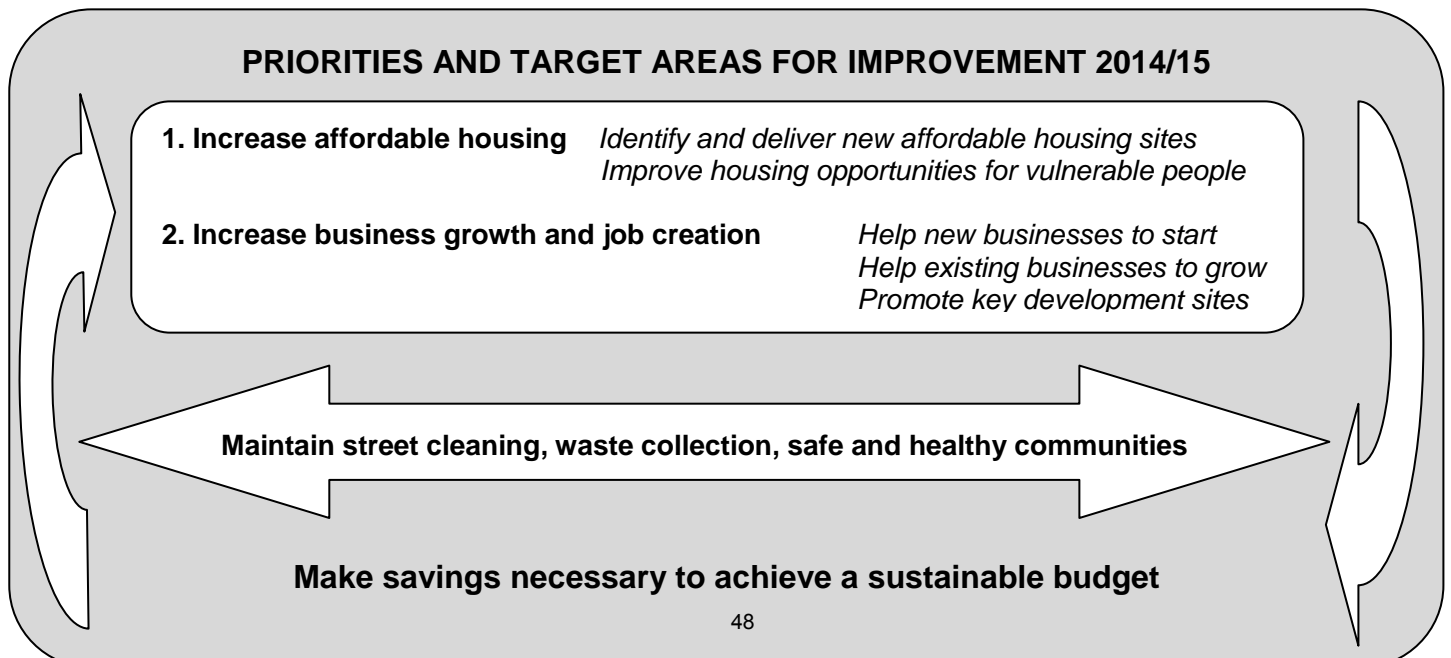
- ◆ *Championing rural communities*
- ◆ *Fairness and equality*
- ◆ *Listening to people*
- ◆ *Quality of service.*
- ◆ *Value for money*
- ◆ *Valuing our employees*
- ◆ *Working with partners*

1.4 The four **aims** of the District Council describe in overarching terms what the Council does and to what end:

- ◆ *Lead the communities of the Derbyshire Dales*
- ◆ *Improve quality of life*
- ◆ *Protect and enhance the environment*
- ◆ *Provide excellent services.*

1.5 In November 2013, the District Council agreed two priorities for improvement for 2014/15 (the main areas where we wish to see improved outcomes) and a third priority to maintain essential services valued by local people. An underpinning priority, recognising the need to manage the long term financial pressures on the Council was also agreed (report of 21 November 2013 refers). The improvement priorities describe the areas where our new activities are primarily focused.

1.6 The Council also agreed five target areas for 2014/15 (main areas for service improvement) to ensure its activities are focused and achieve impact. The priorities and target areas are set out below:



- 1.7 Alongside setting a balanced budget for 2014/15, the District Council needs to agree its corporate targets for the coming year. This report sets out proposed actions for 2014/15, based on the District Council's agreed priorities and target areas, and the budget setting process.
- 1.8 The targets agreed will be communicated in staffMATTERS and Core Briefings as well as being posted on the District Council's website, dalesNET and on posters in District Council premises.

2 PERFORMANCE MANAGEMENT

2.1 Service Plans include more detail on the 2014/15 corporate targets along with a reduced set of Key Performance Indicators. In turn, these are translated into action by our employees. This process is steered and evaluated using the Personal Development Scheme: every employee's personal action plan is linked to service objectives which themselves cascade from the Corporate Plan.

2.2 Progress towards the corporate targets is measured and continually reviewed using the District Council's established 'traffic light' performance management system, and reported to Council every six months. Using the traffic light system, each corporate target can be classified as Red, Amber or Green, depending on performance. The classifications should be interpreted as follows:

Green – Target achieved, or on track to achieve/exceed target

Amber – Target on track to be achieved after the deadline

Red – Unlikely to be achieved.

The classification of the targets is based on a risk assessment of each, taking account of the Council's risk assessment process overseen by the Risk Continuity Group. The assessment measures the risk of the target not being met.

2.3 All targets in the Corporate Plan are 'SMART'. This means that targets have the following characteristics: **Specific** actions are set out (not vague intentions); **Measurable** outputs/outcomes are quantified, so we know when targets are achieved; **Achievable** through effective project management, with the financial and human resources needed to implement actions being available; **Relevant** to priorities and aims; and **Timescaled** (clear deadlines and milestones are set).

3 PROGRESS WITH CORPORATE PLAN TARGETS 2013/14

3.1 Of the nine targets set for 2013/14, six are classified as Green and are on track to be achieved on or ahead of schedule. Two targets are classified as Amber:

- Providing support to 150 Dales businesses - at the end of January, 109 businesses had been supported
- Improve healthy lifestyles by increasing attendances at the District Council's Leisure Centres by 1% from 767,214 to 774,886 – at the end of January, there had been 614,032 attendances at the Council's leisure centres.

3.2 Regarding the remaining target, although this partnership project was successfully completed and other project targets exceeded, under the performance management system, technically this must be classified as Red:

- Support the 'Connecting Peak District Communities' broadband project involving 200 residents/businesses by December 2013 – 193 people were engaged in the project.

3.3 Details of achievements against the 2013/14 Corporate Plan targets are shown in Appendix 1. These translate into real improvements for residents as summarised below:

2013/14 KEY ACHIEVEMENTS IN THE YEAR TO DATE - SUMMARY

- 51 new affordable homes enabled. In total, the District Council has now enabled 1,069 affordable homes in the District since 2002
- Adapted the homes of a further 41 disabled people using Disabled Facilities Grants
- Supported 119 social housing tenants affected by Welfare Reform
- Through Derbyshire Dales Business Advice we have assisted 109 established and new start businesses with 68 jobs created by businesses assisted. Since the project began, 338 Dales businesses have been assisted with 177 jobs created by these businesses
- Working with our partners Henry Davidson Development Ltd, options for the redevelopment of the Bakewell Road site, Matlock have been progressed and further public consultation is planned
- Increased the proportion of household waste recycled or composted from 47.9% to 55.5%. Waste sent to landfill has reduced by a similar percentage – from 52.1% to 44.5% - our lowest ever level (September 2013)
- Carried out 9 licence responsibility awareness visits to premises licensed to sell or supply alcohol and issued with crime reduction and prevention of nuisance conditions
- An anticipated increase in attendances at the District Council's Leisure Centres from the 767,214 attendances achieved last year.

Other achievements during 2013/14 include:

- Hall Leys Park, Matlock won its 6th consecutive national Green Flag award
- Average monthly visits to the District Council's website have increased by 16% to 40,800
- the District Council has been shortlisted for the 2014 UK Housing Awards 'Strategic Local Authority of the year'
- the Dales has the fifth highest percentage of adults taking exercise at least three times a week in the whole of England - in the past year the figure has risen from 29.2% to 30.5%, the highest level in Derbyshire

4 NEW CORPORATE PLAN TARGETS 2014/15

- 4.1 For each priority, the District Council must set clear targets each year. This is so that specific actions can be carried out and our achievements measured.
- 4.2 The approach to setting targets for 2014/15 follows the key principles used to establish the District Council's corporate priorities and target areas i.e. to focus on fewer things so that improvements, albeit smaller in number, can still be achieved in priority areas.
- 4.3 Eleven targets are proposed, focusing on priorities for improvement, investment priorities and deliverable actions with realistic targets based on funding available.

- 4.4 Appendix 2 lists the key actions it is proposed that the Council will carry out during 2014/15, along with the date for completion. These 11 targets are shown in bold in the service plans later in this agenda. The targets highlight key areas where action is planned which will lead to noticeable improvements for our customers. Other activities will also take place, but these are the key actions in the priority areas. As noted below, resources have been allocated to these areas to ensure that actions can be put into effect.
- 4.5 The proposed targets for 2014/15 directly help tackle the following priority challenges identified in the Community Strategy: providing affordable, decent housing; developing an economy that provides higher wage and higher skilled jobs; supporting future generations and ensuring people's older years are happy and healthy. They also contribute to the underlying need to help improve the local environment.

5 RISK ASSESSMENT

5.1 Legal

The Corporate Plan is fully compliant with all relevant legislation. The legal risk is assessed as being low.

5.2 Financial

Service and financial planning is an integrated process. The budget and performance plan are prepared simultaneously, and actions for 2014/15 are resourced as detailed in the Performance Plan and Revenue Budget report later on this agenda. This report also includes a financial risk assessment for financing future years' actions, and a formal risk assessment of each target is incorporated within service plans. It is considered that the Corporate Plan targets for 2014/15 can be achieved within the proposed budgets. The financial risk is therefore considered to be low.

5.3 Corporate Risk

There is a risk that corporate targets may not be achieved. Progress is monitored regularly by service managers and six-monthly by the Corporate Management Team. Whilst priority actions have been developed with managers as part of the combined service planning and budget setting process, given the continuing financial pressures on the District Council, the risk of not achieving corporate targets is classified as medium.

5.4 Other considerations

In preparing this report the relevance of the following factors has also been considered: prevention of crime and disorder, equalities, environmental, climate change, health, human rights, personnel and property.

CONTACT INFORMATION:

Giles Dann on 01629 761211 or email giles.dann@derbyshiredales.gov.uk

Sarah Newton on 01629 761351 or email sarah.newton@derbyshiredales.gov.uk

BACKGROUND PAPERS:

Council report: Corporate Plan 2011-15, 27 October 2011

Council report: Corporate Plan: Service Improvement 2013/14 & Prioritisation 2014/15, 21 November 2013

PROGRESS AGAINST 2013/14 TARGETS

25 February 2014

Summary of Progress		
Status	Number	%
G1 Achieved, on time or ahead of schedule	2	
G2 Achieved, after deadline	0	
G3 On track to be achieved on time	4	
GREEN	6	67%
A On track to be achieved after deadline	2	
TARGETS MET OR BEING MET	8	89%
R Unlikely to be achieved	1	

TARGET	DEADLINE	CLASSIFICATION
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Priority 1. Housing which meets local needs		
1. Enable the provision of 47 new affordable homes	March 2014	G1
Work started in June on 15 new homes at Greenaway Lane, Darley Dale and in July on 34 new homes at Gracelands, Darley Dale. A scheme of 2 bungalows has also started at Ashbourne through the Coopers Alms House Trust making a total of 51 starts in 2013/14. The target has been exceeded.		
2. Provide adaptations to the homes of 35 disabled people	March 2014	G1
Adaptations funded by Disabled Facilities Grants have been completed in the homes of 41 people. Enhanced processing and improved invoicing have contributed to speeding up the process of assisting people in need. The target has been exceeded.		
3. Support 150 social housing tenants affected by Welfare Reform to access financial and budgeting advice, and where necessary, help move home	March 2014	G3
Our debt advice service, run by the Citizens' Advice Bureau in Matlock, has had a new focus in 2013/14 to specifically help social housing tenants affected by Welfare Reform. A total of 119 social housing tenants have so far been supported (mid February) and the service is almost fully booked to the end of the year so there is confidence that the target will be achieved by the end of March.		

Priority 2. A clean, green and prosperous Dales		
4. Increase the proportion of household waste recycled or composted from 47.9% to 54.7%	March 2014	G3
As previously reported to Council, the proportion of household waste recycled and composted had risen to 55.5% by the end of September - up 7.6% since the end of 2012/13. Recycling was also up 4.6% and composting up 3% in the same period. Year end information will be reported in the 2014/15 Performance Plan.		

5 Progress options for the redevelopment of the Bakewell Road Site, Matlock in consultation with the public	March 2014	G3
Working with our partners Henry Davidson Development Ltd. progress continues to be made by the District Council on the options for the redevelopment of the Bakewell Road site. Following consideration of suggested options in January 2014, independent planning consultants will facilitate a community engagement workshop in Matlock on 8 March.		
6. Provide support to 150 Dales businesses	March 2014	A
By the end of January 2014, a total of 109 new start and established businesses had been assisted (received one to one support) by Derbyshire Dales Business Advice. A further 68 businesses had received support through one to many business events. The focus of recent activity has been on providing further help to existing clients with grant applications to LEPs and the Derbyshire Economic Partnership. A feature in the spring edition of dalesMATTERS and recent press releases highlighting successful grant applications by Dales firms are anticipated to increase enquiries from new businesses during March. However, the target is likely to be achieved after deadline.		
7. Support the ‘ Connecting Peak District Communities’ broadband project involving 200 residents / businesses	Dec 2013	R
The partnership project was successfully completed. Seven community events were delivered (target 3) and 193 residents and /or business representatives were engaged in the project.		

Priority 3. Safe and healthy communities

8. Target 10 ‘licence responsibility awareness’ visits to premises licensed to sell or supply alcohol with crime reduction and prevention of nuisance related conditions	March 2014	G3
Nine visits have been completed with the final visit scheduled before the end of March.		
9. Improve healthy lifestyles by increasing attendances at the District Council’s Leisure Centres by 1% from 767,214 to 774,886	March 2014	A
Up to the end of January 2014 there were 614,032 attendances at the District Council’s Leisure Centres (79% of the target). Attendances have increased in recent months as clubs have come inside for training. Based on attendances in January and February 2014 (to date), there is potential for an increase in the number of attendances above that achieved last year before the end of March. However, there is a risk that the target may not be achieved until after deadline.		

APPENDIX 2 - PROPOSED CORPORATE PLAN TARGETS 2014-15

Priority	Target Area for Improvement	New Action		Risk	PI	Service & Lead	Cttee
1. Increase affordable housing	Identify and deliver new affordable housing sites	1	Enable the provision of 60 new affordable homes by March 2015	6	HSS 1	Housing Strategy (Rob Cogings)	Com
	Improve housing opportunities for vulnerable people	2	Support 150 social housing tenants affected by Welfare Reform to access financial and budgeting advice by March 2015, and where necessary, help move home	6	HSS	Housing Strategy (Rob Cogings)	Com
		3	Provide adaptations to the homes of 35 disabled people by March 2015	6	PSH 20	Private Sector Housing (Tim Braund)	Com
2. Increase business growth and job creation	Help new businesses to start	4	Enable 35 new businesses to start by March 2015	4	PTD 40	Promoting the Dales (Giles Dann)	Corp
	Help existing businesses to grow	5	Provide support to 85 established businesses in the Derbyshire Dales by March 2015, enabling the creation of local jobs	6	PTD 41	Promoting the Dales (Giles Dann)	Corp
		6	15 Dales businesses supported to access grants or loans from Government and Local Enterprise Partnerships by March 2015	6	PTD 42	Promoting the Dales (Giles Dann)	Corp
	Promote key development sites	7	Adopt a new Derbyshire Dales Local Plan by November 2014 allocating up to 19ha of land for new employment development	9	PTD43	Promoting the Dales (Mike Hase)	Corp
3. Maintain street cleaning, waste collection, safe and healthy communities		8	Introduce Fixed Penalty Notices for litter and dog fouling by March 2015	12	PSS1	Parks & Street Scene (Heidi McDougall)	Env
		9	Increase the proportion of household waste recycled or composted from 55.5% (tbc) to 56.7% (tbc) by March 2015 (figures tbc at year end)	6	NI 192	Waste Management (Heidi McDougall)	Env
		10	Implement a Public Health funded Affordable Warmth Initiative to help the most vulnerable households by March 2015	6	PSH 26	Private Sector Housing (Tim Braund)	Com
4. Make savings to achieve a sustainable budget		11	Undertake a programme of efficiency savings and service reviews with a target of generating £1m in savings within the next 3 years	12	POL 18	Corporate Management & Policy (All)	Council

COUNCIL
6 MARCH 2014

Report of the Chief Executive

DALES HOUSING – PROPOSED AMENDMENTS TO ARTICLES OF ASSOCIATION

SUMMARY

This report sets out a request to amend the Articles of Association governing the management of Dales Housing Limited which principally ends the formal right to membership by members of the District Council and Tenant representatives on the Board of Dales Housing.

RECOMMENDATION

That the proposed amendments to the Articles of Association governing the management of Dales Housing Limited be agreed.

WARDS AFFECTED

All

STRATEGIC LINK

Affordable Housing is one of the District Councils top priorities. An effective working relationship with Dales Housing and other housing associations is key to successful delivery of that objective.

1. BACKGROUND

- 1.1 Dales Housing Limited has proposed amendments to the current Memorandum and Articles of Association which govern the management arrangements and membership of its Board. The agreement, upon which the new Articles are based, was first agreed in 2002, when the District Council's social housing stock was transferred to Dales Housing to manage. Council first considered this issue at its meeting on 23 January, and agreed to defer making a decision in order to consult with Harborough District Council who is in a similar position partnership arrangement with Dales Housing.
- 1.2 It is understood that Harborough District Council is due to consider the matter at an Executive meeting on 24th March 2014. The report to the Executive will be recommending that the proposed changes are supported and the amended articles of association adopted which will effectively withdraw the councils formal involvement with Seven Locks Housing
- 1.3 Currently, the Memorandum and Articles provide for 4 elected members of the District Council and Tenant Members to be appointed to the Board. The District Council seats are filled on the basis of political proportionality.

2. PROPOSED AMENDMENTS

- 2.1 Revised Articles of Association have now been proposed for Council's consideration. Apart from minor amendments to the Articles to reflect legislative change, the principle changes are summarised below.

Article Summary	Change
Local Authority has power to appoint up to four persons a Board Members	Provision deleted
Tenants have power to appoint up to four persons as Tenant Board Members	Provision deleted
Voting rights – Local Authority Members = 33% of votes cast Tenant Members = 33% of votes cast	Provision deleted

- 2.2 The proposed Articles propose a board comprising between five and twelve Board Members (excluding co-optees). Board Members shall be appointed by the Board and in making such appointments the Board shall take into consideration the skills and attributes identified as necessary to enhance the Board's effectiveness at that time, rather than the current quota basis. This is in line with a business case for change which was approved by the Dales Board on 14 November 2013. Should the new recruitment process result in Local Authority or Tenant members being appointed to the Board, the Articles provide for a maximum of three for each category.
- 2.3 Paragraph 2.4 of the fifth Schedule to the Deed of Covenant which transferred the housing stock to Dales Housing, states that no change to the Memorandum and Articles of Association of the Company will be made so far as they relate to local authority or tenant representation, without the District Council's prior consent in writing. This right of veto will no longer apply should the Council agree to the request to amend the Articles of Association

3. FUTURE PARTNERSHIP WORKING

- 3.1. Attached is the proposal presented to the Dales Housing Board in November 2013, as part of the business case for changing the Acclaim Board structures, as to how stakeholders such as the local authority Members may be engaged in the future. Attempts at co-ordinating a meeting of the District Councils four existing Dales Board members and representatives from the voluntary sector, have finally come to fruition and a meeting took place on 15 January 2014 with a view to moving the Community Connect Group initiative forward and refining its terms of reference.
- 3.2. The Council may therefore find some assurance that its representatives have a role in shaping how this new stakeholder group might work in the future.

4. OFFICER COMMENT

- 3.1 The District Council has effective working relationship with 12 Registered Social Landlords, hence housing development to meet the needs of the residents of Derbyshire Dales is not met exclusively by Dales Housing. The proposal to sever the ties of representation by quota is in line with the experience of other transfer authorities and is unlikely to have a detrimental effect on working relationships. Dales Housing is well managed and will continue to be regulated by the Homes and Communities Agency. Therefore it is not considered to be a detrimental step to accept the change to Board Membership and also the loss of the power of veto. Acceptance to the change in the Articles of Association is therefore recommended

5. RISK ASSESSMENT

5.1 Legal

The relevant considerations are set out in the report. The legal risk is therefore low.

3.2 Financial

There are no financial considerations arising from the report.

6. CONTACT INFORMATION

Sandra Lamb, Head of Democratic Services on 01629 761281 or email sandra.lamb@derbyshiredales.gov.uk

7. BACKGROUND PAPERS

Draft Articles of Association, Dales Housing, November 2013

8. ATTACHMENTS

Appendix 1 – Future Partnership Working

Future Partnership Working – Dales Housing and Derbyshire Dales District Council

1. Introduction

- 1.1 Dales has a history of strong links with DDDC and recognises that they are one of our most enduring significant partners. Our strong links are built on mutual respect, synergy between our two organisations' strategic priorities and interdependency on delivering services that meet the needs of people living in our communities.
- 1.2 Dales would like to introduce a new strategic panel into our governance framework – *Dales Community Connect*.
- 1.3 This panel would be an additional resource and would exist to develop plans and priorities that support and monitor community priorities and service delivery.
- 1.4 Additionally, the panel will help to set some service budgets, review Stage 2 complaints and oversee the Dales in the Community programme and budget.
- 1.5 The group will have clear terms of reference that demonstrates how it will connect and link with the Acclaim Group Board, Dales' Scrutiny Panel and other partner's governance arrangements.
- 1.6 Membership of the group will be high level; Executive Director of Dales, Council nominee and Directors / Heads of Service of other key agencies / partners - there will be an appointed chair.

2. Strategic and Business Engagement

- 2.1 Dales will continue to liaise and meet with senior staff from DDDC and would seek to formalise such business meetings.
- 2.2 Dales will continue to play a full and active role in current strategic forums such as community safety matters, financial inclusion, re-housing, welfare reforms, supported housing and aids & adaptations.
- 2.3 Dales will continue to build on our already established partnership with Housing Strategy and planning, working to develop new homes that meet identified housing need.
- 2.4 Dales will also continue to work in partnership with other key agencies such as Peak District National Park Authority, Town Councils and locally elected officials.

3. Community Engagement – Investing in our Neighbourhoods

- 3.1 Dales' initiatives: – *Dales in the Community* and *Diverse Dales* frameworks will continue to be developed as a vehicle for delivering community priorities, events, meeting community needs and engaging and working with key partners. These initiatives will be central to the work of the Dales Community Connect Panel.

4. Co-regulation and Scrutiny

- 4.5 Dales will remain focused and committed to having in place a tenants' Scrutiny Panel. The Scrutiny Panel tests and challenges Dales on its service delivery; results of which will be reported directly through to Dales Community Connect Panel. The panel will directly influence improvement plans and have a role in monitoring progress.
- 4.6 Dales was one of the first organisations in the country to achieve the external Co-regulation award in 2012. Dales has this month (October, 2013) successfully achieved reaccreditation, demonstrating our commitment to a robust and determined approach to listening and responding to those living in our communities.

5. Complaints and Enquiries

- 5.1 Dales is a learning organisation that has in place a healthy approach to how it views and responds to complaints. Dales will continue to receive and respond to complaints from all stakeholders.
- 5.2 Councillors and senior officers of DDC will continue to have direct line to senior accountable officers to discuss individual constituents' concerns.
- 5.3 In addition, the Dales Community Connect Panel will hear and oversee Stage 2 complaints.

6. Information Sharing

- 6.1 Acclaim Housing Group is committed to the principles of openness and transparency in its decisions and actions. We will be open about our work and share appropriate information with all our stakeholders unless there are good reasons why not to – for example, for legal reasons or on the grounds of personal confidentiality, commercial confidentiality or practicality.

7. Future Partnership Working

- 7.1 The Acclaim Group wants to establish new Strategic Partnership Panels, for active stakeholders of its subsidiary organisations Seven Locks Housing and Dales Housing. The aim of which will be to act as long time custodians of the values of the organisation; to ensure that the overall business approach is in line with the Group's values and to monitor value led aspects of the organisations activities. This aim will

encompass both challenge to corporate priorities and objectives, and practical consideration of the annual objectives and expenditure that contributes to the well-being of our communities.

7.2 The information below provides a recommended framework and terms of reference of the new strategic panel – Dales Community Connect.

7.3 The recommendation is the new Panel will be a stakeholder group of up to 12 representatives to include:-

4 x Member/Officer, Derbyshire Dales District Council
Executive Director, Dales Housing
3 x officers, Dales Housing
4 x key partners/agencies eg Voluntary sector, Police

7.4 The Panels will meet twice per year:

- Late autumn as part of the business planning cycle and to enable reference back to the Acclaim Board in determining priorities and spend
- Mid-summer to review progress on delivery

7.5 The terms of reference will be to:

- Review Acclaim and Local Authority Corporate Objectives as they relate to the well-being of our communities and enable partners to add value in the delivery of our mutual objectives
- Review Acclaim policies that are relevant to the well-being of our communities e.g. anti-social behaviour
- Determine expenditure of environmental improvement monies
- Determine expenditure of community initiatives and event monies
- Determine opportunities for joint working and joint funding
- Hear and oversee Stage 2 complaints.